

COMISIÓN INTERAMERICANA DEL ATÚN TROPICAL
INTER-AMERICAN TROPICAL TUNA COMMISSION

WORKING GROUP ON FINANCE

6TH MEETING

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IATTC MEMBER CONTRIBUTIONS: SUMMARY OF RECENT
DEVELOPMENTS AND UPDATED DATA

The 1949 IATTC Convention specifies that the member governments of the Commission shall share the joint expenses of the IATTC, and that each member's contribution to the Commission's budget shall be related to the proportion of the catch of tunas from the EPO that is utilized by that member. "Utilized" is understood to mean tuna consumed fresh or substantially processed for internal consumption or export; thus, tunas landed in the territory of a member but subsequently exported whole or as loins are not included in computing that member's contribution, but those that are exported canned are included. These contributions are calculated from statistics compiled by the IATTC staff for years before the budget period in question.

The 5th meeting of the Working Group on Finance, in August 2001, agreed to use a provisional formula to calculate the contributions incorporating this fundamental component, but including other elements as well, such as catch and *per capita* gross domestic product (GDP) (Tables 1a and 1b), and agreed a draft resolution on financing which included a sample calculation composed of a base fee, a variable fee, and a fee dependent upon participation in the fishery. It was agreed that this calculation should incorporate the most current and reliable information available at the time, and would be used to calculate each Party's contribution to the IATTC budget for financial year (FY) 2003 and provisionally for FY 2004 and FY 2005.

The 69th meeting of the IATTC took account of the report of the 5th meeting of the Working Group in agreeing on a schedule of contributions for FY 2003 and provisionally for FY 2004.

The 70th meeting of the IATTC agreed upon a schedule of contributions for FY 2004, taking account of the funding formula recommended by the Working Group, and agreed to convene the Working Group to continue its work on finding a formula to determine each Party's contribution to the budget for FY 2005 and for the longer term. The Working Group was instructed to take into account the provisions of the Antigua Convention, particularly Article XV concerning contributions (Appendix A).

The formula agreed by the 5th meeting of the Working Group included a weighting factor, based on each member's *per capita* GDP reported by the World Bank, used to scale the contributions. Members were classified into the following categories:

Category	<i>per capita</i> GDP (US\$)
1	<1,500
2	1,501-5,000
3	5,001-10,000
4	>10,000

Subsequently the World Bank has changed its measurement to gross national income (GNI) *per capita*. Table A shows, for current members and for others that have expressed their intention of joining the Commission, the data used to calculate contributions using the formula recommended by the Working Group.

In Tables 2a and 2b the formula is applied to the budget requested for FY 2005, for the current members of the Commission and for current members plus others that have expressed their intention of joining the Commission, respectively.

Table A. Categories used by the 5th meeting of the Working Group, the corresponding *per capita* GDP for 1999 and GNI for 2002¹, in US\$, and the most recent data available on catches and utilization, in metric tons (t).

Members		Cate- gory	GDP <i>per</i> <i>capita</i>	GNI <i>per</i> <i>capita</i>	Catch			Utilization
					Surface	Other	Total	
Current			1999	2002	2002	2002	2002	2000
Costa Rica	CRI	2	2942	4100	0	1,869	1,869	19,377
Ecuador	ECU	1	1109	1450	138,241	1,097 ²	139,338	69,581
Spain	ESP	4	14939	14430	31,945	5,692	37,637	84,375
France	FRA	4	24267	22010	0	4,596	4,596	6,541
Guatemala	GTM	2	1637	1750				201
Japan	JPN	4	34276	33550	0	37,341	37,341	49,228 ³
Mexico	MEX	3	5036	5910	163,423	438	163,861	121,438
Nicaragua	NIC	1	459	-		⁴		204
Panama	PAN	2	3397	4020	29,251	-	29,251	0
Peru	PER	2	2060	2050				3,444
El Salvador	SLV	2	2007	2080				506
United States	USA	4	32778	35060	14,799	16,775	31,574	129,435
Venezuela	VEN	2	4312	4090	124,533	0	124,533	41,530
Vanuatu	VUT	1	1193	1080	14,413	0	14,413	0
Prospective								
Canada	CAN	4	-	22300	0	4,729	4,729	3,905 ³
China	CHN	1	-	940	0	9,780	9,780	316 ³
Colombia	COL	2	-	1830				104,103
European Union	EUR	4	-	-	31,945	5,692	37,637	127,904
France ⁵	FRA	4	-	-	0	4,596	4,596	4,808
Korea	KOR	3	-	9930	0	16,185 ⁴	16,185	10,791 ³
Chinese Taipei	TWN	4	-	-	0	12,434 ²	12,434	12,434 ³

The catch information for these countries reflects the activities of fewer than three companies.

It is understood that, when the European Union joins the Commission, Spain would withdraw, and France would continue as a member on behalf of territories for which it has not transferred competence in fisheries matters to the EU. Accordingly, the data for France as a prospective member are those of French Polynesia.

¹ The World Bank website does not provide GNI information for the European Union or Chinese Taipei, but both are assumed to be in category 4.

² 2000 data

³ 2000 catch used for utilization

⁴ 2001 data

⁵ EPO French Polynesia catch. 2000 catch used for utilization

Table 1a. (attached to the draft Resolution on Financing agreed by the 5th meeting of the IATTC Working Group on Financing, August 2001)

Modelo de cálculo de las contribuciones al presupuesto de la CIAT, en US\$, basado en un presupuesto de \$4.480.000, para ilustrar el uso de la fórmula
 Sample calculation of contributions to the IATTC budget, in US\$, based on a budget of \$4,480,000, to illustrate the use of the formula

AF-FY 2003		Captura (t)	Utilización (t)	Ponderación		Contribución básica	Contribución operacional (ponderada)	Contribución por captura (ponderada)	Contribución por utilización (ponderada)	Sede	Contribución total	% del presupuesto
		Catch (t)	Utilization (t)	Weighting		Base contribution	Operational contribution (weighted)	Catch contribution (weighted)	Utilization contribution (weighted)	Head-quarters	Total contribution	% of budget
		2000	1998	Cat	Factor	4%	6%	50.0%	40.0%			
Costa Rica	CRI	10,628	31,912	1	1	9,933	7,450	14,877	39,264		71,524	1.6
Ecuador	ECU	180,847	49,635	1	1	9,933	7,450	253,145	61,070		331,598	7.4
France	FRA	654	4,985	4	4	9,933	29,800	3,662	24,534		67,929	1.5
Guatemala	GTM			1	1	9,933	7,450	38,980	-		56,363	1.3
Japan	JPN	38,919	61,778	4	3.5	9,933	26,075	190,673	266,035		492,716	11.0
México	MEX	123,442	104,079	3	3	9,933	22,350	518,374	384,168		934,825	20.9
Nicaragua	NIC			0	0.5	9,933	3,725	3,768	-		17,427	0.4
Panamá	PAN	22,260	-	2	2	9,933	14,900	62,318	-		87,151	1.9
El Salvador	SLV	-	-	1	1	9,933	7,450	-	-		17,383	0.4
USA	USA	26,802	69,667	4	4	9,933	29,800	150,067	342,866	1,500,000	2,032,666	45.4
Venezuela	VEN	75,112	30,098	2	2	9,933	14,900	210,280	74,064		309,177	6.9
Vanuatu	VUT	31,331	-	1	1	9,933	7,450	43,856	-		61,240	1.4
Total		543,226	352,154	24	24	119,200	178,800	1,490,000	1,192,000	1,500,000	4,480,000	

La información de captura de estos países refleja las actividades de menos de tres empresas.

The catch information for these countries reflects the activities of fewer than three companies.

Table 1b. (attached to the draft Resolution on Financing agreed by the 5th meeting of the IATTC Working Group on Financing, August 2001)

Modelo de cálculo de las contribuciones al presupuesto de la CIAT, en US\$, basado en un presupuesto de \$4.480.000, para ilustrar el uso de la fórmula.
Sample calculation of contributions to the IATTC budget, in US\$, based on a budget of \$4,480,000, to illustrate the use of the formula.

AF-FY 2004		Captura (t)	Utilización (t)	Ponderación		Contribución básica	Contribución operacional (ponderada)	Contribución por captura (ponderada)	Contribución por utilización (ponderada)	Sede	Contribución total	% del presu- puesto
		Catch (t)	Utilization (t)	Weighting		Base contribution	Operational contribution (weighted)	Catch contribution (weighted)	Utilization contribution (weighted)	Head- quarters	Total contribution	% of budget
		2000	1998	Cat	Factor	5%	10%	47.5%	37.5%			
Costa Rica	CRI	10,628	31,912	1	1	12,417	12,417	14,133	36,810		75,776	1.7
Ecuador	ECU	180,847	49,635	1	1	12,417	12,417	240,488	57,253		322,574	7.2
France	FRA	654	4,985	4	4	12,417	49,667	3,479	23,000		88,562	2.0
Guatemala	GTM			1	1	12,417	12,417	37,031	-		61,864	1.3
Japan	JPN	38,919	61,778	4	3.5	12,417	43,458	181,139	249,408		486,422	10.8
México	MEX	123,442	104,079	3	3	12,417	37,250	492,455	360,158		902,279	20.1
Nicaragua	NIC			0	0.5	12,417	6,208	3,580	-		22,205	1.0
Panamá	PAN	22,260	-	2	2	12,417	24,833	59,202	-		96,452	2.1
El Salvador	SLV	-	-	1	1	12,417	12,417	-	-		24,833	0.5
USA	USA	26,802	69,667	4	4	12,417	49,667	142,564	321,437	1,500,000	2,026,084	45.2
Venezuela	VEN	75,112	30,098	2	2	12,417	24,833	199,766	69,435		306,451	6.8
Vanuatu	VUT	31,331	-	1	1	12,417	12,417	41,664	-		66,497	1.4
Total		543,226	352,154	24	24	149,000	298,000	1,415,500	1,117,500	1,500,000	4,480,000	

La información de captura de estos países refleja las actividades de menos de tres empresas.

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Table 2a. Sample calculation of contributions to the budget requested for FY 2005, in US\$, with current members (January 2004), based on data in Table A and using the formula agreed by the 5th meeting of the IATTC Working Group on Financing, August 2001.

Presupuesto solicitado Requested budget		Captura (t)	Utilización (t)	Ponderación		Contribución básica	Contribución operacional (ponderada)	Contribución por captura (ponderada)	Contribución por utilización (ponderada)	Sede	Contribución total	% del presupuesto
AF-FY 2005		Catch (t)	Utilization (t)	Weighting		Base contribution	Operational contribution (weighted)	Catch contribution (weighted)	Utilization contribution (weighted)	Head- quarters	Total contribution	% of budget
		2002	2000	Cat	Factor	5%	10%	47.5%	37.5%			
Costa Rica	CRI	1,869	19,377	2	2	12,558	21,311	4,322	31,587		69,778	1.4
Ecuador	ECU	139,338	69,581	1	1	12,558	10,656	161,104	56,713		241,031	4.8
Spain	ESP	37,637	84,375	4	4	12,558	42,622	174,065	275,084		504,329	10.1
France	FRA	4,596	6,541	4	4	12,558	42,622	21,256	21,325		97,761	1.9
Guatemala	GTM		201	2	2	12,558	21,311	35,110	328		69,307	1.4
Japan	JPN	37,341	49,228	4	3.5	12,558	37,294	151,109	140,434		341,396	6.8
México	MEX	163,861	121,438	3	3	12,558	31,967	568,374	296,939		909,838	18.1
Nicaragua	NIC		204	1	0.5	12,558	5,328	1,532	83		19,501	0.4
Panamá	PAN	29,251	0	2	2	12,558	21,311	67,641	0		101,510	2.0
Perú	PER		3,444	2	2	12,558	21,311	5,221	5,614		44,705	0.9
El Salvador	SLV		506	2	2	12,558	21,311	29,856	825		64,550	1.3
USA	USA	31,574	129,435	4	4	12,558	42,622	146,025	421,990	1,500,000	2,123,196	42.3
Venezuela	VEN	124,533	41,530	2	2	12,558	21,311	287,973	67,699		389,541	7.8
Vanuatu	VUT	14,413	0	1	1	12,558	10,656	16,664	0		39,878	0.8
Total		617,415	525,860	34	34	175,816	351,632	1,670,252	1,318,620	1,500,000	5,016,321	100.0

La información de captura de estos países refleja las actividades de menos de tres empresas.

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Table 2b. Sample calculation of contributions to the budget requested for FY 2005, in US\$, with current and prospective members (January 2004), based on data in Table A and using the formula agreed by the 5th meeting of the IATTC Working Group on Financing, August 2001.

Presupuesto solicitado Requested budget		Captura (t)	Utilización (t)	Ponderación		Contribución básica	Contribución operacional (ponderada)	Contribución por captura (ponderada)	Contribución por utilización (ponderada)	Sede	Contribución total	% del presupuesto
AF-FY 2005		Catch (t)	Utilization (t)	Weighting		Base contribution	Operational contribution (weighted)	Catch contribution (weighted)	Utilization contribution (weighted)	Head- quarters	Total contribution	% of budget
		2002	2000	Cat	Factor	5%	10%	47.5%	37.5%			
Canada	CAN	4,729	3,905	4	4	9,253	29,926	19,281	9,849		68,310	1.4
China	CHN	9,780	316	1	1	9,253	7,482	9,969	199		26,903	0.5
Colombia	COL		104,103	2	2	9,253	14,963	68,326	131,283		223,826	4.5
Costa Rica	CRI	1,869	19,377	2	2	9,253	14,963	3,810	24,436		52,463	1.0
Ecuador	ECU	139,338	69,581	1	1	9,253	7,482	142,029	43,874		202,637	4.0
EU	EUR	37,637	127,904	4	4	9,253	29,926	153,455	322,595		515,230	10.3
France	FRA	4,596	4,808	4	4	9,253	29,926	18,739	12,127		70,045	1.4
Guatemala	GTM		201	2	2	9,253	14,963	30,952	253		55,422	1.1
Japan	JPN	37,341	49,228	4	3.5	9,253	26,185	133,217	108,641		277,297	5.5
Korea	KOR	16,185	10,791	3	3	9,253	22,445	49,493	20,413		101,603	2.0
México	MEX	163,861	121,438	3	3	9,253	22,445	501,076	229,715		762,489	15.2
Nicaragua	NIC		204	1	0.5	9,253	3,741	1,351	64		14,409	0.3
Panamá	PAN	29,251	0	2	2	9,253	14,963	59,632	0		83,848	1.7
Perú	PER		3,444	2	2	9,253	14,963	4,603	4,343		33,163	0.7
El Salvador	SLV		506	2	2	9,253	14,963	26,321	638		51,175	1.0
Chn. Taipei	TWN	12,434	12,434	4	4	9,253	29,926	50,696	31,361		121,237	2.4
USA	USA	31,574	129,435	4	4	9,253	29,926	128,735	326,457	1,500,000	1,994,371	39.8
Venezuela	VEN	124,533	41,530	2	2	9,253	14,963	253,876	52,373		330,465	6.6
Vanuatu	VUT	14,413	0	1	1	9,253	7,482	14,691	0		31,426	0.6
Total		694,059	699,205	48	48	175,816	351,632	1,670,252	1,318,620	1,500,000	5,016,321	100.0

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Appendix A.

INTER-AMERICAN TROPICAL TUNA COMMISSION

CONVENTION FOR THE STRENGTHENING OF THE INTER-AMERICAN TROPICAL TUNA COMMISSION ESTABLISHED BY THE 1949 CONVENTION

BETWEEN

THE UNITED STATES OF AMERICA

AND

THE REPUBLIC OF COSTA RICA

(“ANTIGUA CONVENTION”)

ARTICLE XIV. BUDGET

1. The Commission shall adopt each year its budget for the following year, in accordance with Article IX, paragraph 3 of this Convention. In determining the size of the budget, the Commission shall give due consideration to the principle of cost effectiveness.
2. The Director shall submit to the Commission for consideration a detailed draft annual budget that shall identify the disbursements to be made from contributions referred to in Article XV, paragraph 1, and those referred to in Article XV, paragraph 3, of this Convention.
3. The Commission shall maintain separate accounts for the activities carried out under this Convention and under the AIDCP. The services to be provided to the AIDCP and the corresponding estimated costs shall be specified in the Commission’s budget. The Director shall provide to the Meeting of the Parties to the AIDCP for its approval, and prior to the year in which the services are to be provided, estimates of services and their costs corresponding to the tasks to be carried out pursuant to that Agreement.
4. The accounts of the Commission shall be subjected to an annual independent financial audit.

ARTICLE XV. CONTRIBUTIONS

1. The amount of the contribution of each member of the Commission to the budget shall be determined in accordance with the scheme which the Commission shall adopt, and amend, as required, in accordance with Article IX, paragraph 3, of this Convention. The scheme adopted by the Commission shall be transparent and equitable for all members and shall be set out in the financial regulations of the Commission.
2. The contributions agreed pursuant to the provisions of paragraph 1 of this Article shall enable the operation of the Commission and cover in a timely manner the annual budget adopted in accordance with Article XIV, paragraph 1, of this Convention.
3. The Commission shall establish a fund to receive voluntary contributions for research on and conservation of the fish stocks covered by this Convention and, as appropriate, associated or dependent species, and for the conservation of the marine environment.
4. Notwithstanding the provisions of Article IX of this Convention, unless the Commission decides otherwise, if a member of the Commission is in arrears in the payment of its contributions by an amount equal to or greater than the total of the contributions due from it for the preceding twenty-four (24) months, that member shall not have the right to participate in decision-making in the Commission until it has fulfilled its obligations pursuant to this Article.
5. Each member of the Commission shall meet its own expenses arising from attendance at meetings of the Commission and of its subsidiary bodies.