

INTER-AMERICAN TROPICAL TUNA COMMISSION

**82<sup>ND</sup> MEETING**

LA JOLLA, CALIFORNIA (USA)  
4-8 JULY 2011

**PROPOSAL IATTC-82-A-1**

**PRESENTED BY JAPAN**

**DRAFT RESOLUTION ON IATTC CATCH DOCUMENTATION SCHEME**

*The Inter-American Tropical Tuna Commission (IATTC):*

*Concerned* that illegal, unreported and unregulated (IUU) fisheries are still one of the factors hampering sustainable use of fishery resources;

*Recognizing* that the ICCAT Catch Documentation Scheme for Atlantic bluefin tuna is working effectively to prevent IUU products from entering the market;

*Recalling* that one of the reasons why some countries proposed to list several shark species in CITES Appendix II at the COP 15 of CITES in Doha was lack of information on sharks;

*Further recalling* that participants in the Joint Tuna RFMO workshop on Monitoring, Control and Surveillance held in Barcelona from 3 to 5 June 2010 supported the expansion of the catch documentation scheme to species other than Atlantic bluefin tuna and southern bluefin tuna;

*Recognizing* that special consideration should be given to implementation of the catch documentation scheme for sharks;

*Determined* to take further measures to combat IUU fisheries and collect information on catch and trade;

*AGREED that:*

**PART I  
GENERAL PROVISIONS**

1. Each Member and Cooperating non-Member of the Commission (hereinafter referred to as "CPC") shall take the necessary steps to implement an IATTC Catch Documentation Scheme for the purpose of identifying the origin of tunas and tuna-like species and other species of fish taken by vessels fishing for tunas and tuna-like species in the Convention Area to which conservation and management measures apply. Initially, the species subject to the Scheme (hereinafter referred to as "the Species") shall be bigeye tuna (*Thunnus obesus*) and shark species which shall be decided at the 2012 annual meeting of IATTC, taking into account advice from the Scientific Advisory Committee. The Scientific Advisory Committee shall, at its 2012 plenary session, make such advice based on the various factors such as resource status, requirement of data collection and actual trade of shark species as well as advice from the IATTC staff.
2. For the purposes of this Program:
  - a. "Export" means:  
any movement of the Species caught in the Convention Area by a fishing vessel of a CPC which entitled the fishing vessel to fly its flag (flag CPC) to the territory or area of another CPC or a non-CPC, or from the fishing grounds to the territory or area of a CPC which is not the flag CPC

or to the territory or area of a non-CPC;

b. "Import" means:

any introduction of the Species in their caught or processed forms into the territory or area of a CPC, which is not the flag CPC of the fishing vessel;

c. "Re-export" means:

any movement of the Species in their caught or processed forms from the territory or area of a CPC to which it had been previously imported;

d. "Transshipment" means:

any transfer of fish from vessels that have caught the fish to carrier vessels, either at sea or in port.

## PART II CATCH DOCUMENTS

3. Each consignment of the Species imported into or exported or re-exported from the territory or area of a CPC shall be accompanied by a validated IATTC catch document (IACD) and, as applicable, a validated IATTC re-export certificate (IARC). Any such import, export or re-export of the Species without a completed and validated IACD or IARC shall be prohibited.
4. Each CPC shall provide IACD forms only to fishing vessels authorized to catch the Species in the Convention Area. Such forms are not transferable to another fishing vessel. Each IACD form shall have a unique document identification number. Document numbers shall be specific to the flag CPC and assigned to the fishing vessel.
5. Copies of IACD shall follow each part of split consignments or processed product, using the unique document number of the original IACD in order to track them.
6. CPCs shall keep copies of IACDs issued or received for at least two years.
7. Export, import and re-export of fish parts other than the meat and shark fins (i.e., heads, eyes, roes, guts, bones and tails) shall be exempted from the requirements of this Resolution. Products for hand carrying by travelers shall also be exempted from the requirements of this Resolution.
8. The fishing vessel masters, or their authorized representative, or the authorized representative of the flag CPC shall complete the IACD, by providing the required information in appropriate sections and request its validation for catch information and transshipment information in accordance with paragraph 10. The exporter shall complete the IACD for the trade information and request its validation in accordance with paragraph 10.
9. The form of the IACD shall be **Annex 1**. Each space may be expanded as necessary. All the annexes shall be filled-in in accordance with instruction sheets to be established by the Commission before the starting of the Scheme.
10.
  - a. The Catch Information section, the Transshipment Information section, and the Trade information section of the IACD shall be validated respectively by an authorized CPC official, or other authorized individual or institution, of the flag CPC of the fishing vessel. Each section shall not be validated until the previous section is validated.
  - b. Notwithstanding paragraph a), if a fishing vessel operates under a charter arrangement with another CPC (hereinafter referred to as "chartering CPC"), the Catch Information section of the IACD shall be validated by an authorized CPC official, or other authorized individual or institution, of the chartering CPC.
  - c. Each section of the IACD shall be validated only when all the information contained in the

section has been established to be accurate as a result of the verification of the consignment, and only when those products comply with all relevant provisions of the IATTC conservation and management measures.

- d. Validation shall not be required in the event that each fish available for sale is tagged, in accordance with paragraph 21, by the flag CPC of the fishing vessel that caught the Species. However, the IACD shall be completed and accompany the products. In the case that the Species is traded in a fresh or chilled form, a tag shall be attached to it. By the end of 2013, the IATTC shall develop an electronic tagging system in which the information can be retrieved instantly from the tag by any person with a designated scanner.
  - e. The IATTC shall develop an electronic validation scheme by the end of 2013 where electronic forms are electronically validated and communicated to other CPCs and the Secretariat.
11. When the Species quantities caught and landed are less than one metric ton, the vessel logbook or the sales note may be used as a temporary IACD, pending the validation of the IACD within seven days and prior to export.
  12. Where the Species are caught by artisanal fishing vessels and exported, one IACD may cover catches of more than one fishing vessel to aggregate their catches. In this case, all the names of the fishing vessels as well as their corresponding registration numbers shall be written in the Fishing Vessel Information section of IACD or attached as annex (Flag, Call Sign, IMO/Loyd's #, Fishing License # and License Expiration Date do not have to be written).

### **PART III**

#### **SPECIES DESTINED TO PROCESSING PLANTS**

13. In the case that the Species is caught by purse seiners and brought to processing plants, the catch information may be validated at processing plants. Such processing plants shall be registered with the Commission.
14. Notwithstanding paragraph 10 a), validation at processing plants may be done by an authorized CPC official, or other authorized individual or institution of the country or entity where the processing plant is situated as long as such a country or entity is a CPC. In the case that the processing plant is situated in a non-CPC, validation at processing plants shall be done by an authorized CPC official, or other authorized individual or institution, of the flag CPC of the fishing vessel.

### **PART IV**

#### **SHARKS**

15. If sharks caught and landed by different fishing vessels are aggregated and the whole or part of the aggregated products is transacted and traded, **Annex 2** may be used to record such transaction and trade. In this case, **Annex 2** shall be accompanied by the copy or original of all the relevant IACDs.

### **PART V**

#### **RE-EXPORT CERTIFICATES**

16. Each CPC shall ensure that the Species consignment which is re-exported from its territory or area be accompanied by a validated IARC.
17. The operator who is responsible for the re-export shall complete the IARC by providing the required information in its appropriate sections and request its validation for the Species consignment to be re-exported. The completed IARC shall be accompanied by a copy of the validated IACD(s) relating to the Species products previously imported.
18. The IARC shall be validated by an authorized CPC official, or other authorized individual or institution.
19. The CPC shall validate the IARC for the Species product only when:

- a. all the information contained in the IARC has been established to be accurate;
  - b. the validated IACD(s) submitted in support to the IARC had been accepted for the importation of the products declared on the IARC;
  - c. the products to be re-exported are wholly or partly the same products on the validated IACD(s); and
  - d. a copy of the IACD(s) shall be attached to the validated IARC.
20. The form of the IARC shall be **Annex 3**. Each space may be expanded as necessary.

#### **PART VI TAGGING**

21. In the case that each fish available for sale is tagged, the flag CPCs shall require their fishing vessels to affix a tag to each fish no later than the time of landing or transshipping. Tags shall have unique country or area-specific numbers and be tamper-proof. The fishing vessel masters, or their authorized representative, or the authorized representative of the flag CPC shall complete **Annex 4** to be attached to **Annex 1** and indicate the total by species in the Catch Information Section of **Annex 1**. A summary of the implementation of the tagging program shall be submitted to the Secretariat by the CPC.
22. When sharks are separated into bodies and fins on board the catching vessels and tagged, bodies and fins shall be tagged, respectively. CPCs that implement tagging for sharks shall develop special tags which shall allow matching of bodies and fins from the same individuals.

#### **PART VII COMMUNICATION AND VERIFICATION**

23. Each CPC shall communicate electronically to the Secretariat a copy of all validated IACDs or IARCs within five working days following the date of validation, or without delay where the expected duration of the transportation should not be more than five working days. In the case of tagging, each CPC shall communicate electronically a copy of all IACDs including **Annex 4** as soon as possible to the Secretariat.
24. The Secretariat shall extract from the IACDs or IARCs communicated under paragraph 23 above the information marked with an asterisk in **Annex 1** through **4** and enter this information in a database on a password protected section of its website, as soon as practicable. At its request, the Scientific Advisory Committee shall have access to the catch information contained in the database, except the vessel names.
25. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of the Species imported into or exported or re-exported from its territory or area and request and examine the validated IACD(s) and related documentation of each consignment of the Species. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the IACD and in related documents and, where necessary, shall carry out verifications with the operators concerned.
26. If, as a result of examinations or verifications carried out pursuant to paragraph 25, a doubt arises regarding the information contained in the IACD, the importing CPC and the CPC whose competent authorities validated the IACD(s) or IARC(s) shall cooperate to resolve such doubts.
27. If a CPC involved in trade of the Species identifies a consignment with no IACD or no valid IACD, it shall notify the findings to the exporting CPC and, where known, the flag CPC.
28. Pending the examinations or verifications under paragraph 25 to confirm compliance of the Species consignment with the requirements in the present Resolution and any other relevant measures adopted

by IATTC, the CPC shall not grant its release for import or export.

29. When a CPC, as a result of examination or verifications under paragraph 25 and in cooperation with the validating authorities concerned, determines that an IACD or IARC is invalid, the import, export or re-export of the Species products concerned shall be prohibited.
30. The Commission shall request the non-CPCs that are involved in import, export or re-export of the Species to cooperate with the implementation of the Scheme to provide to the Commission data obtained from such implementation.

#### **PART VIII COMMUNICATION OF DATA**

31. CPCs that validate IACDs in respect of their flag fishing vessels and/or IARCs, shall notify to the Secretariat:
  - a. the name and full address of their authorities responsible for validating and verifying IACDs or IARCs; and
  - b. the name, title, and signature of the validating officials who are individually empowered. This notification shall indicate the date at which this title comes into force. A copy of the provisions adopted in national law for the purpose of implementing the catch documentation program shall be communicated with the initial notification. Updated details on validating authorities, officials and national provisions shall be communicated to the Secretariat in a timely fashion.
32. The information on validating authorities and officials transmitted by notifications to the Secretariat shall be placed on a password protected section of its website held by the Secretariat. The list of the CPCs having notified their validating authorities and officials, and the dates of entry into force of the title, shall be placed on a publicly accessible website held by the Secretariat. CPCs are encouraged to access this information to help verify the validation of IACDs and IARCs.
33. Each CPC shall notify to the Secretariat the points of contact (name and e-mail address) that should be informed when there are questions related to IACDs or IARCs.
34. Notification pursuant to paragraphs 31, 32 and 33 shall be sent by CPCs to the Secretariat, by electronic means.
35. CPCs shall provide to the Secretariat a report each year by April 1 for the preceding year to provide the information described in **Annex 5**. The Secretariat shall post these reports on a password protected section of the website, as soon as practicable. At its request, the Scientific Advisory Committee shall have access to the reports received by the Secretariat.

#### **PART IX OTHERS**

36. This Resolution shall enter into force on January 1, 2013. The Commission will hold a preparatory workshop in 2012, which shall establish draft instruction sheets to be formally adopted at the 2012 Commission meeting.
37. This Resolution will be reviewed and revised if necessary in 2014 with the view to expanding the scope of application of the Catch Documentation Scheme to species other than the Species as well as any other elements deemed to be revised. Such expansion of the scope shall take into account scientific advice from the Scientific Advisory Committee.
38. Resolution C-03-01 (Resolution on IATTC Bigeye Tuna Statistical Document Programme) is superseded by this Resolution.

## IATTC CATCH DOCUMENT FORM(IACD)

1. IACD #*				
2. Fishing Vessel Information				
Name*		Flag*		Registration #
Call Sign			IMO/Loyd's # (if issued)	
Fishing License #			License Expiration Date	
3. Catch Information (In case of tagging, use the attached sheet and indicate the total by species here)				
Species*	Gear*	Area*	Catch Dates*	Catch Weight (kg)*
Name of Validation Authority			Title	
Signature			Date	
4. Transshipment (at-sea or in-port) Information (if applicable)				
Carrier Name		Carrier Flag		IATTC Registration #
Date	Location of Transshipment		IATTC Observer Name	
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, OT)		Net Weight (kg)*
Name of Validation Authority			Title	
Signature			Date	
5. Trade Information				
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, CAN, OT)		Net Weight (kg)*
Exporter Name		Exporter Address		
Export Date				
Point of Export*			Destination*	
Name of Validation Authority			Title	
Signature			Date	
Importer Name		Importer Address		
Import Date				
Point of Import*				

## IATTC AGGREGATED CATCH DOCUMENT FORM FOR SHARKS TRADE

<b>1. IATTC Aggregated Catch Document #*</b>			
<b>2. IACD # covered by this form</b>			
<b>3. Transaction Information</b>			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, OT)	Net Weight (kg)*
Seller Name		Seller Address	
Transaction Date			
Buyer Name		Buyer Address	
<b>4. Transaction Information</b>			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, OT)	Net Weight (kg)*
Seller Name		Seller Address	
Transaction Date			
Buyer Name		Buyer Address	
<b>5. Trade Information</b>			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, OT)	Net Weight (kg)*
Exporter Name		Exporter Address	
Export Date			
Point of Export*		Destination*	
Name of Validation Authority		Title	
Signature		Date	
Importer Name		Importer Address	
Import Date			
Point of Import*			

## IATTC RE-EXPORT CERTIFICATE FORM

1. Certificate #						
2. Re-Exporting Country/Entity/Fishing Entity*						
3. Point of Re-Export*						
4. Description of Imported Fish						
Species*	Product Type	Product Form	Net Weight	Flag	Date of Import*	IACD #*
	(F/FR/D)*	(RD,GG,DR,FL,OT)	(kg)			
5. Description of Fish for Re-Export						
Species*	Product Type	Product Form	Net Weight (kg)*	Corresponding IACD # from Section 4		
	(F/FR/D)*	(RD, GG, DR, FL, OT)				
Exporter Name			Exporter Address			
Export Date						
Destination*						
Name of Validation Authority				Title		
Signature						
				Date		
6. Import Section						
Importer Name				Importer Address		
Import Date						
Point of Import*						





Report on the Implementation of the IOTC Catch Documentation Scheme

Reporting CPC:

Period of reference: 1 January [20xx] to 31 December [20xx]

1. Number of IACDs validated
2. Number of IACDs received
3. Total amount of products by species imported, exported and re-exported with breakdown by CRE "qh origin, re-export or destination, fishing areas and fishing gear
4. Number of verifications of IACDs requested to other CPCs and summary results
5. Number of requests for verifications of IACDs received from other CPCs and summary results
6. Total amount of consignment by species subject to a suspension decision with breakdown by ptqf weu. nature of operation (import, export, re-export), reasons for suspension and CPCs and/or non-Convte vpi Parties of origin or destination
7. Total amount of consignment by species subject to a prohibition decision with breakdown by r tqf weu. nature of operation (import, export, re-export), reasons for prohibition and CPCs and/or non-Convte vpi Parties of origin or destination