

**PERMANENT WORKING GROUP ON TUNA TRACKING  
16<sup>TH</sup> MEETING**

LIMA (PERU)  
7 JUNE 2004

**PROPOSAL BY EL SALVADOR**

**AGREEMENT ON THE INTERNATIONAL DOLPHIN CONSERVATION PROGRAM  
PROCEDURES FOR *AIDCP DOLPHIN SAFE TUNA* CERTIFICATION  
(amended<sup>1</sup>)**

**1. DEFINITIONS**

The terms used in this document are as defined in the AIDCP System for Tracking and Verification of Tuna, and as follows:

**Agreement or AIDCP:** the 1998 Agreement on the International Dolphin Conservation Program.

**AIDCP Dolphin Safe Tuna Certificates:** Documents issued by the competent national authority, evidence of the dolphin-safe status of tuna and tuna products, in accordance with the definition of the AIDCP System for Tracking and Verification of Tuna.

**AIDCP Dolphin Safe Tuna Label:** Graphic representation which distinguishes *dolphin safe* tuna and tuna products, as defined in the System for Tracking and Verifying Tuna, which can be used on the packaging of tuna certified under this resolution.

**Competent national authority:** The department of government or other entity designated by each Party which is responsible for implementing and operating the procedures for the Certification of *AIDCP Dolphin Safe* tuna.

**2. CERTIFICATION OF *AIDCP DOLPHIN SAFE TUNA* AND TUNA PRODUCTS**

~~1. Application of the procedures for the use of the *AIDCP Dolphin Safe Tuna Certificate* shall be voluntary for each Party, especially in the event that they may be inconsistent with the national laws of a Party.~~

~~2.1. Notwithstanding paragraph 1 above, any Party that chooses to issue the *This AIDCP Dolphin Safe Tuna Certificate* shall ~~de~~ be issued only in accordance with the following procedures:~~

- a. The Party within whose jurisdiction the tuna is unloaded ~~or, as appropriate, the flag state of the vessel,~~ shall be responsible for issuing the *AIDCP Dolphin Safe Tuna Certificate* in accordance with the System for Tracking and Verification of Tuna. If such tuna is unloaded in a state not party to the AIDCP, the flag state of the vessel shall be responsible for issuing these certificates.
- b. The *AIDCP Dolphin Safe Tuna Certificate* forms shall be produced in ~~a~~ fixed formats created by the Secretariat, on security paper and numbered consecutively.
- c. The *AIDCP Dolphin Safe Tuna Certificate* forms shall be provided by the Secretariat to the Parties to the AIDCP that are using the *AIDCP Dolphin Safe Tuna Certificate* and are implementing the System for Tracking and Verification of Tuna.

<sup>1</sup> June 2002: Paragraph added to Section 3a; 15 April 2003: phrase deleted in Section 2.2.f; 11 October 2003: sub-paragraph (g) added to Section 2.2.

- d. Two types of AIDCP Dolphin Safe certificate shall be developed:
- i. The *AIDCP Dolphin Safe Tuna Certificate A1* shall include the date; the corresponding Tuna Tracking Form (TTF) number; the weight of the tuna by species; if processed, type of processing and processor lot number; and the signature of the competent national authority, deposited with the Secretariat.
  - ii. The *AIDCP Dolphin Safe Tuna Certificate A2* shall include the date; the corresponding Tuna Tracking Form (TTF) number; and a paragraph certifying that the vessel that caught that tuna did not have a DML assigned, so all its catches are *Dolphin Safe*. Finally, it will have the signature of the competent national authority, deposited with the Secretariat.
  - iii. A new *NON-Dolphin Safe Tuna* form shall be developed. This certificate shall include the date; the corresponding Tuna Tracking Form (TTF) number; the weight of the tuna by species recorded in Part B of the TTF; if processed, type of processing and the name of the together with the lot number assigned to it; and the signature of the competent national authority, deposited with the Secretariat.
- e. The competent national authority shall be responsible for submitting to the Secretariat, within the first five (5) days of the month, all copies of the *AIDCP Dolphin Safe Tuna Certificate* and *non-Dolphin Safe Tuna Certificates*, issued within the previous month.
- f. Only tuna caught by vessels with captains on the List of Qualified Captains maintained by the Secretariat shall be eligible to receive the *AIDCP Dolphin Safe Certificate*. This provision shall not apply to those vessels authorized to fish in the Agreement Area that do not have a DML and that do not fish for tunas associated with dolphins.
- g. Tuna positively identified by the IATTC as having been caught in contravention of IATTC tuna conservation and management measures is not eligible for an AIDCP Dolphin-Safe Certificate.
- 3.2. At the end of one year, the Meeting of the Parties shall review the effectiveness of these procedures. Based, *inter alia*, on this review, the Meeting of the Parties may consider such conditions, modifications or additions to the procedures as may be necessary.
- 4.3. The Parties shall apply these procedures in a manner consistent with the AIDCP, and taking into account other resolutions adopted by the Parties for the purpose of promoting the objectives of the Agreement.

### 3. VERIFICATION

Subject to the AIDCP Rules of Confidentiality:

- a. Parties shall submit their Tuna Tracking and Verification Programs to the IRP, through the Secretariat, in order to analyze their consistency with the AIDCP Tuna Tracking and Verification System and the provisions of Annex IX of the AIDCP.

Parties that do not submit their Tuna Tracking and Verification Plans to the IRP shall not be eligible to use the *AIDCP Dolphin Safe Tuna Certification*.
- b. Each competent national authority shall be responsible for issuing the AIDCP Dolphin Safe Certifications and *non-Dolphin Safe Tuna Certificates* and, while reserving its national sovereign rights, shall cooperate with the Secretariat to design and carry out a program of general reviews and spot checks of the implementation of its national tracking and verification program, in accordance with guidelines developed by the Parties. The competent national authority will offer all necessary administrative support in the design and implementation of such a program.
- c. The Parties, through the IRP, will conduct a review of the AIDCP Dolphin Safe Tuna Certification procedures, at least annually, based on, *inter alia*, a comparison by the Secretariat of the data con-

tained in the TTFs with that contained in the Certification forms submitted to the Secretariat. The IRP shall submit any comment or recommendation to the Meeting of the Parties for its consideration.

- d. The Parties, through the IRP, shall analyze possible situations that could undermine the implementation of the procedures for certification, and may recommend to the Meeting of the Parties relevant measures for their consideration consistent with the AIDCP and relevant provisions of international law.

#### **4. ADOPTION OF THE *AIDCP DOLPHIN SAFE* CERTIFICATE AND LABEL**

The Meeting of the Parties approves the general format of *AIDCP Dolphin Safe* Tuna Certificate and the *AIDCP Dolphin Safe* Tuna Label, appended hereto.

#### **5. PUBLIC EDUCATION**

- a. Each Party, as appropriate, and the Secretariat shall give due publicity to the new *AIDCP Dolphin Safe* Certificate and *AIDCP Dolphin Safe* Label through relevant communications to interested governmental and non-governmental entities .
- b. The Parties agree to disseminate objective information to, *inter alia*, importers, fishermen's organizations, and non-governmental organizations, using their own capabilities within their national and international markets, to support an accurate public perception of the AIDCP, in order to increase the broad understanding of the AIDCP and its objectives.
- c. The Parties, through the Secretariat, may support the design and implementation of an international public education campaign to accomplish the objectives set forth within this section.

#### **6. TRANSITORY PROVISION**

Within thirty days following the adoption of this resolution, the Secretariat shall provide the *AIDCP Dolphin Safe* Certificate forms described in **paragraph 2(2)(b)** to the Parties, who can begin issuing the respective certificates.