

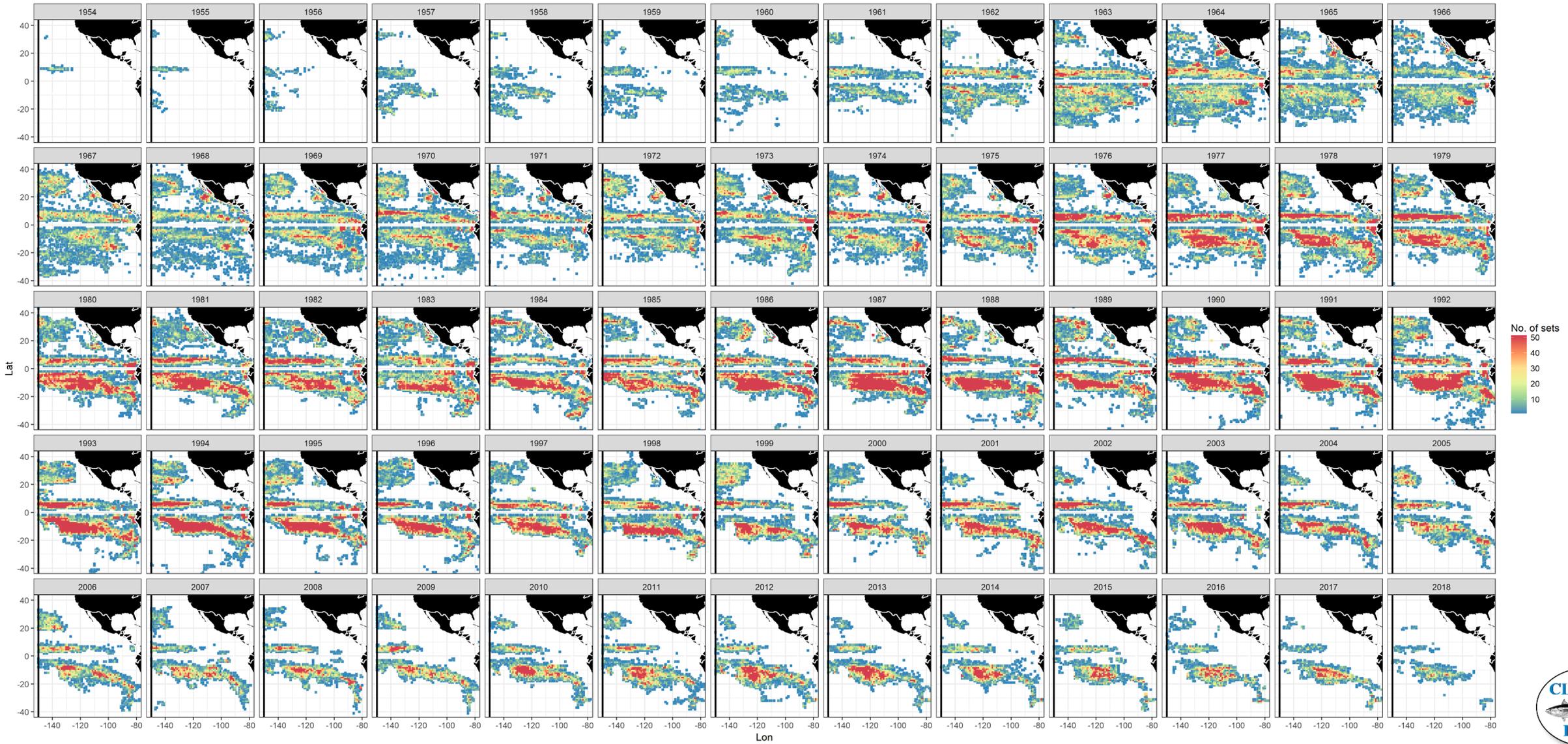
Comisión Interamericana del Atún Tropical  
Inter-American Tropical Tuna Commission



# Investigating vessel effects and targeting using Japanese operational data in Spatial-temporal model (VAST)

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# Japanese LL fishery (number of sets per year)



# Model Structure (single species)

VAST separately models encounter probability ( $p$ ) and positive catch rate ( $\lambda$ ) for each catch rate observation  $i$ :

$$\text{logit}(p_i) = \beta_1(t_i) + L_{\omega_1}\omega_1(s_i) + L_{\varepsilon_1}\varepsilon_1(s_i, t_i) + L_{\delta_1}\delta_1(v_i) + \sum_{k=1}^{n_k} \lambda_1(k)Q(i, k) + \sum_{p=1}^{n_p} \gamma_1(p)X(s_i, t_i, p)$$

$$\log(\lambda_i) = \beta_2(t_i) + L_{\omega_2}\omega_2(s_i) + L_{\varepsilon_2}\varepsilon_2(s_i, t_i) + L_{\delta_2}\delta_2(v_i) + \sum_{k=1}^{n_k} \lambda_2(k)Q(i, k) + \sum_{p=1}^{n_p} \gamma_2(p)X(s_i, t_i, p)$$

$\beta(t_i)$ : intercept in year  $t_i$

$\omega(s_i)$ : spatial variation at location  $s_i$ ;  $L_{\omega}$ : scaling factor (sd)

$\varepsilon(s_i, t_i)$ : spatiotemporal variation at location  $s_i$  in year  $t_i$ ;  $L_{\varepsilon}$ : scaling factor (sd)

$\delta(v_i)$ : vessel/targeting effects on catchability;  $L_{\delta}$ : scaling factor (sd)

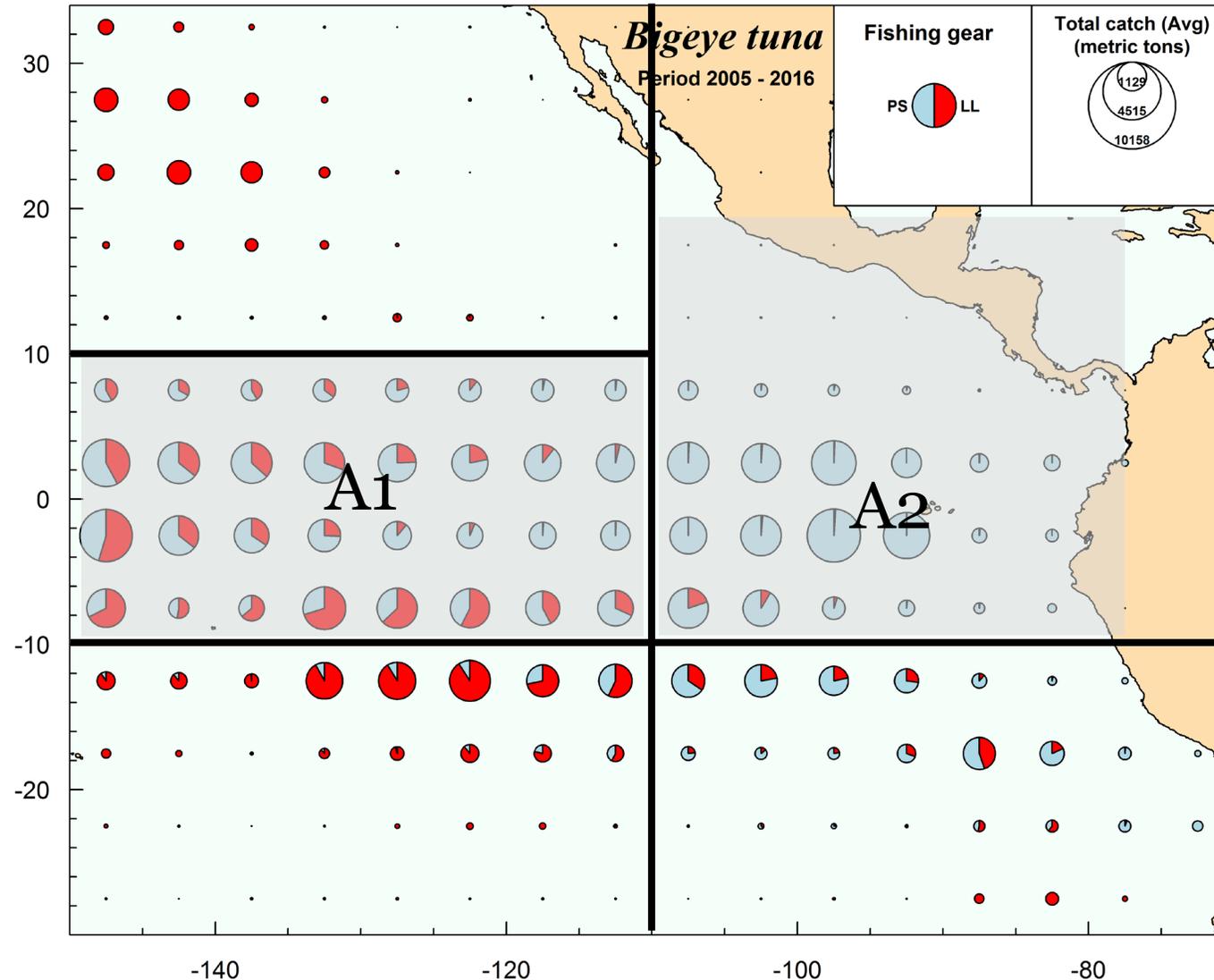
$Q(i, k)$ : catchability covariate(s);  $\lambda(k)$ : associated catchability parameter(s)

$X(s_i, t_i, p)$ : habitat covariate(s);  $\gamma(p)$ : associated habitat parameter(s)

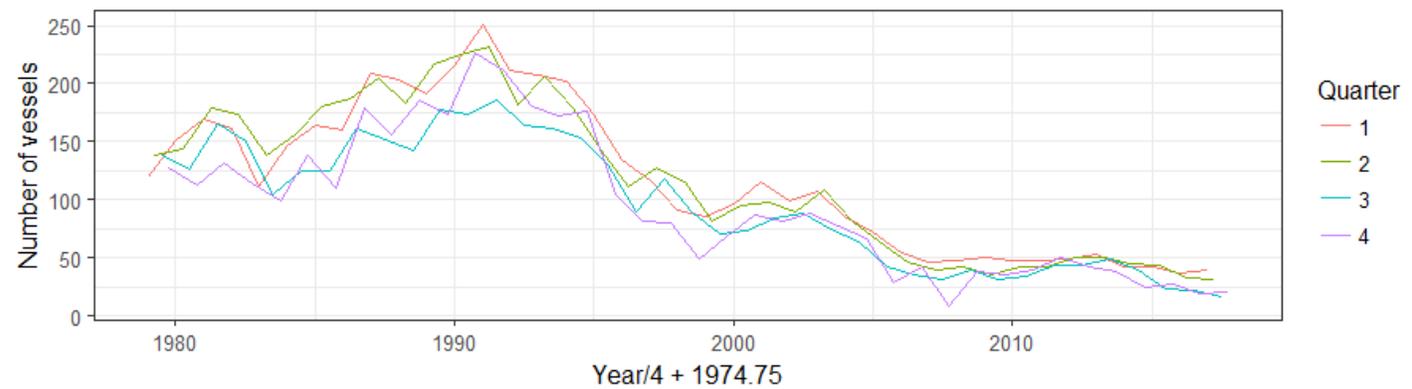
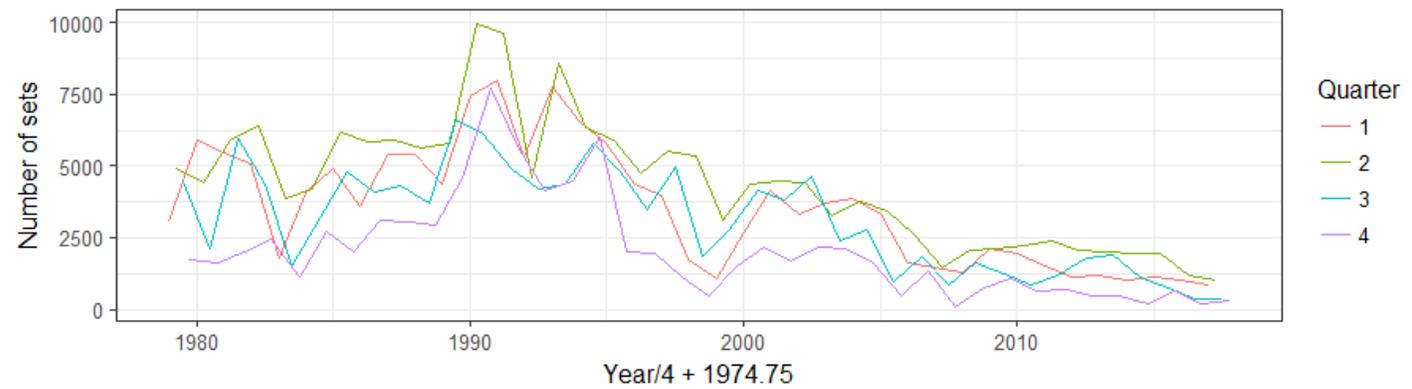
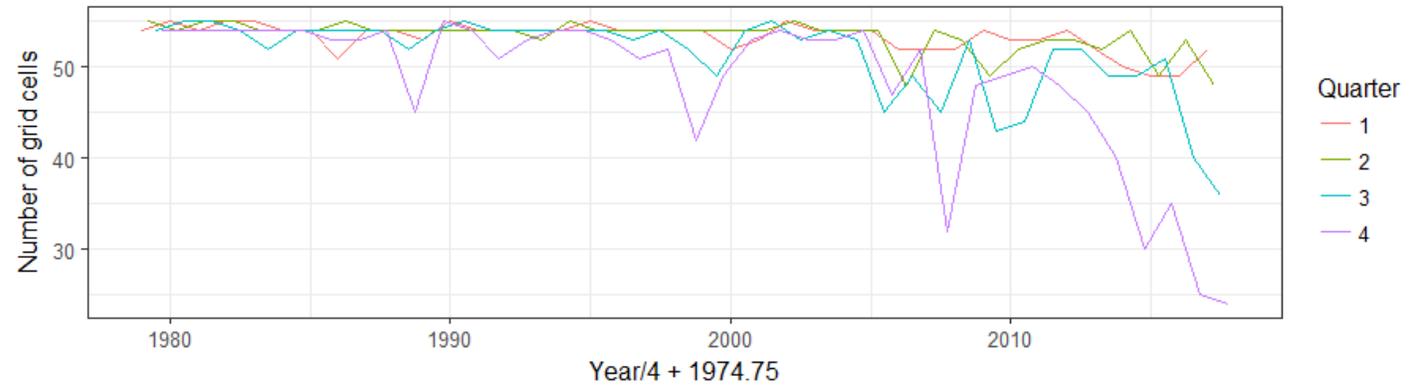
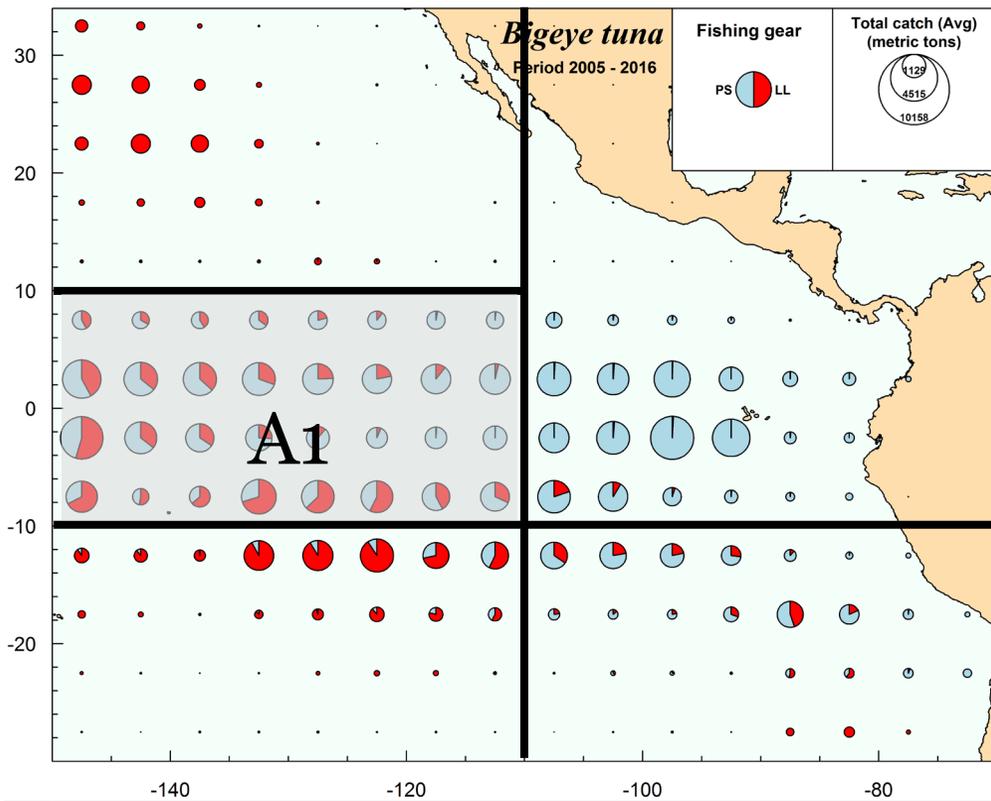
# 1. Vessel effects on catchability

- Why consider vessel effects in the standardization procedure?  
*Different vessels can have different fishing power/efficiency*
- What vessel effects can be accounted for in VAST?  
*Different fishing efficiencies among vessels: larger vessels and surviving vessels are likely to have higher catchability*
- What vessel effects cannot be accounted for in VAST?  
*Changing fishing efficiency of the same vessel over time: catchability are likely to increase over time due to advanced technology and accumulated fishing experience*

# Two tropical areas (A1 and A2) are investigated



# A1: number of vessels decreased since 1990

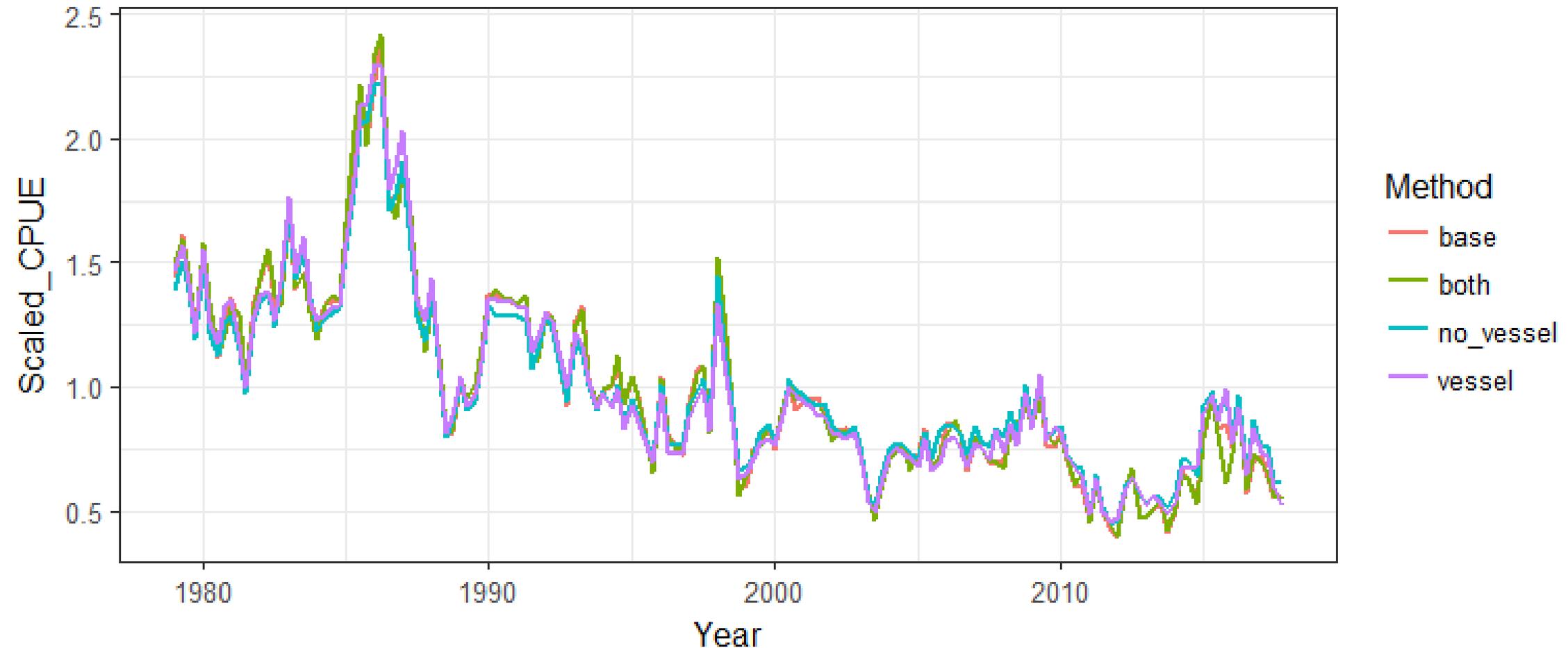


# Four scenarios are compared for A1

- 1. base:** fit VAST to aggregated data for A1 by year-quarter, lat, and lon
- 2. both:** fit VAST to aggregated data for both A1 and A2 by year-quarter, lat, and lon; estimate CPUE separately for A1 and A2
- 3. no\_vessel:** fit VAST to aggregated data for A1 by year-quarter, lat, lon, and vessel; vessel effects are not included
- 4. vessel:** fit VAST to aggregated data for A1 by year-quarter, lat, lon, and vessel; vessel effects are included

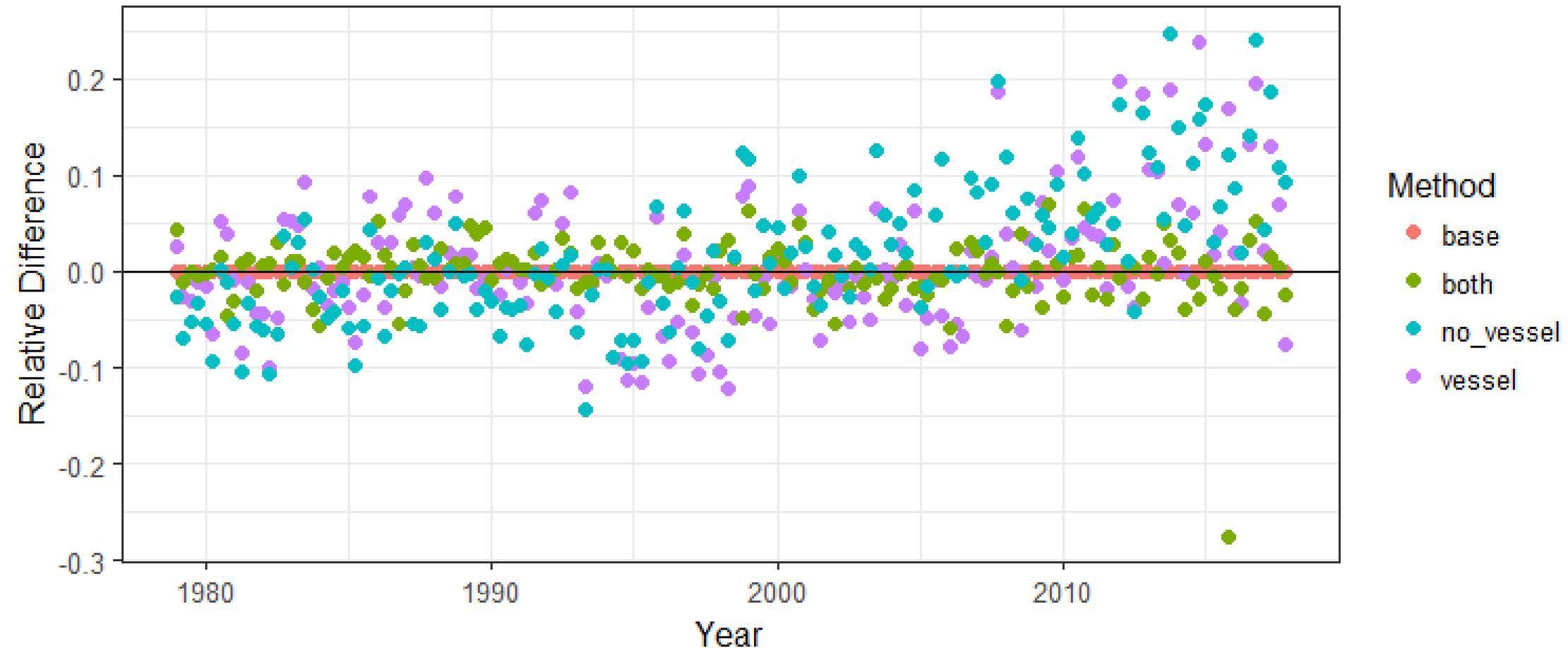
# Scaled index of abundance (mean=1)

1. **base**: aggregated data for A1 by y-q, lat, and lon
2. **both**: aggregated data for both A1 and A2 by y-q, lat, and lon; index is estimated for A1
3. **no\_vessel**: aggregated data for A1 by y-q, lat, lon, and vessel; vessel effects are not included
4. **vessel**: aggregated data for A1 by y-q, lat, lon, and vessel; vessel effects are included



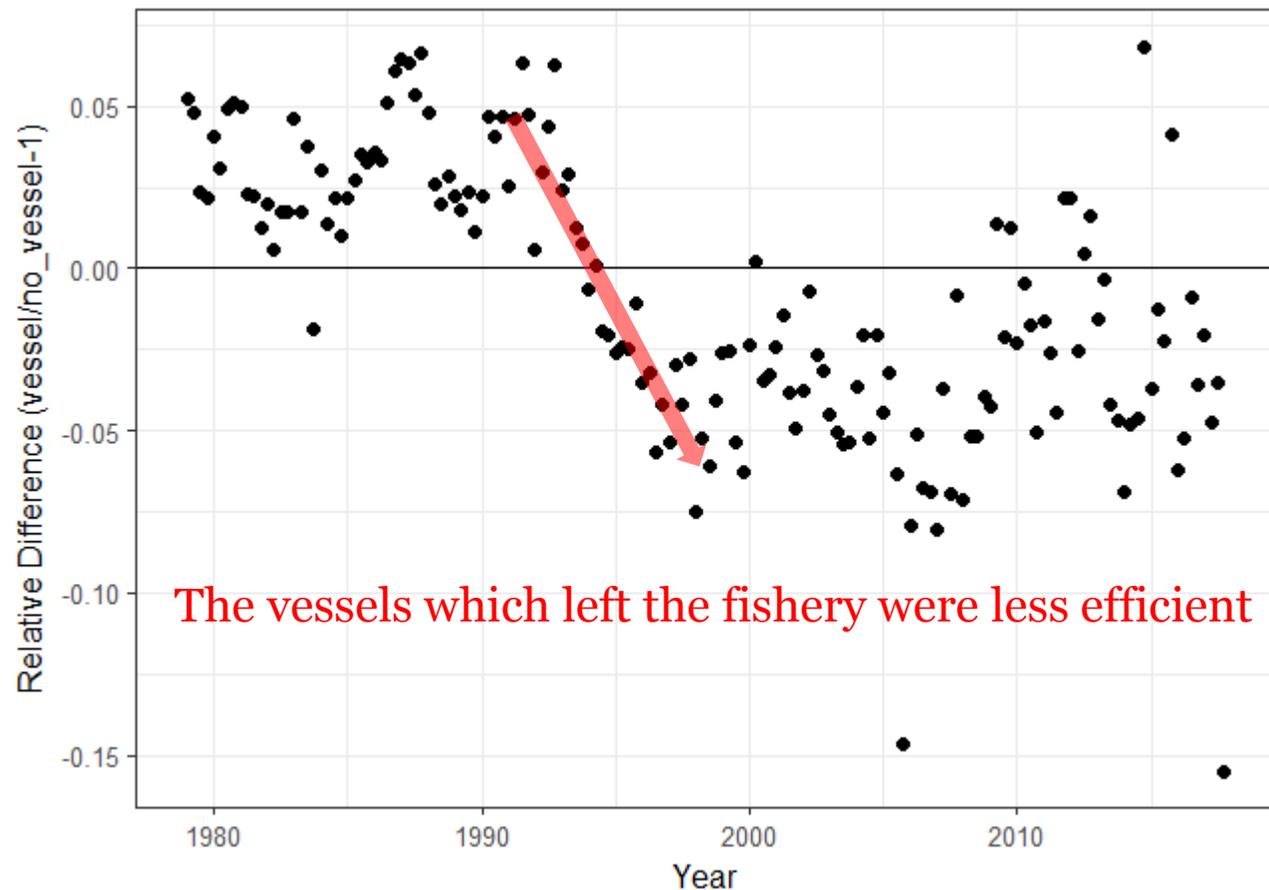
# Relative difference compared to the base case

1. How the data are aggregated (by vessel or not) is influential
2. Vessel effects are important



# Vessel effects on catchability are important

Relative difference between the standardized indices with and without vessel effects

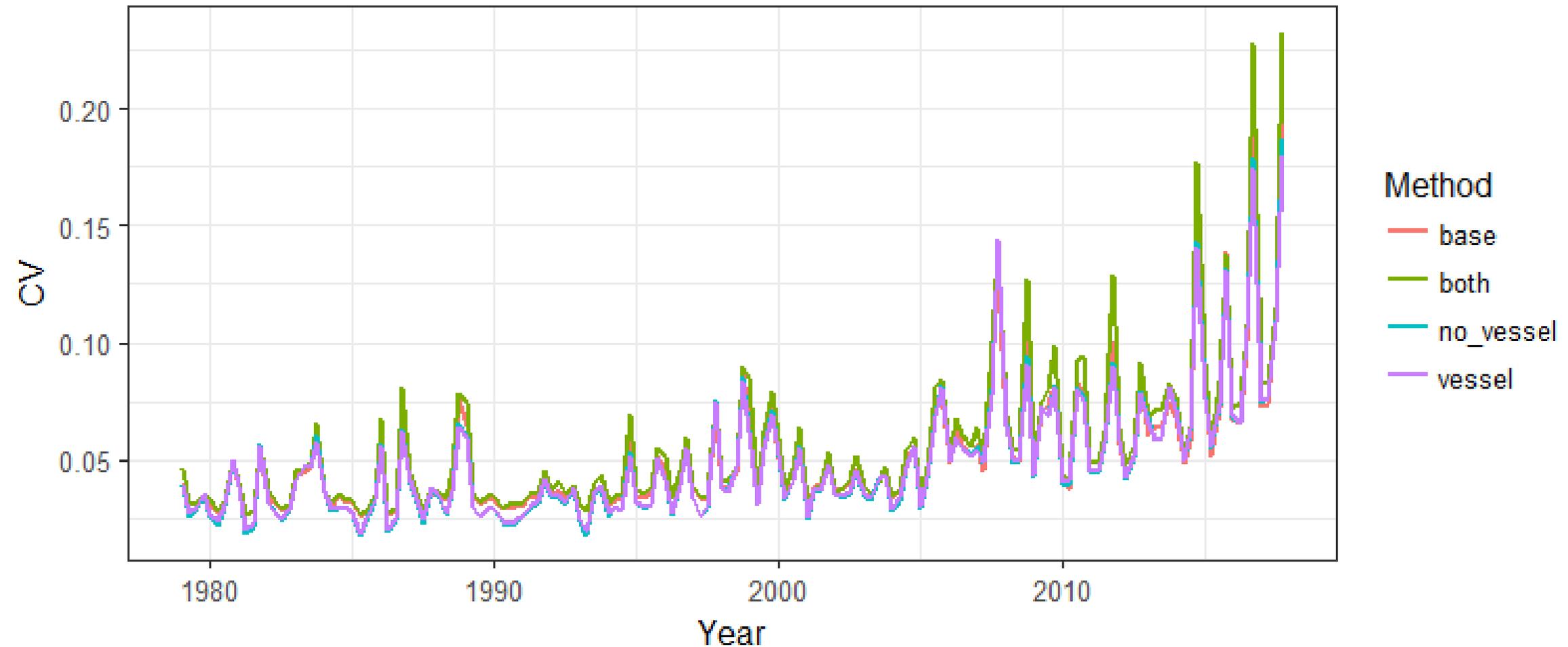


Low mean vessel efficiency

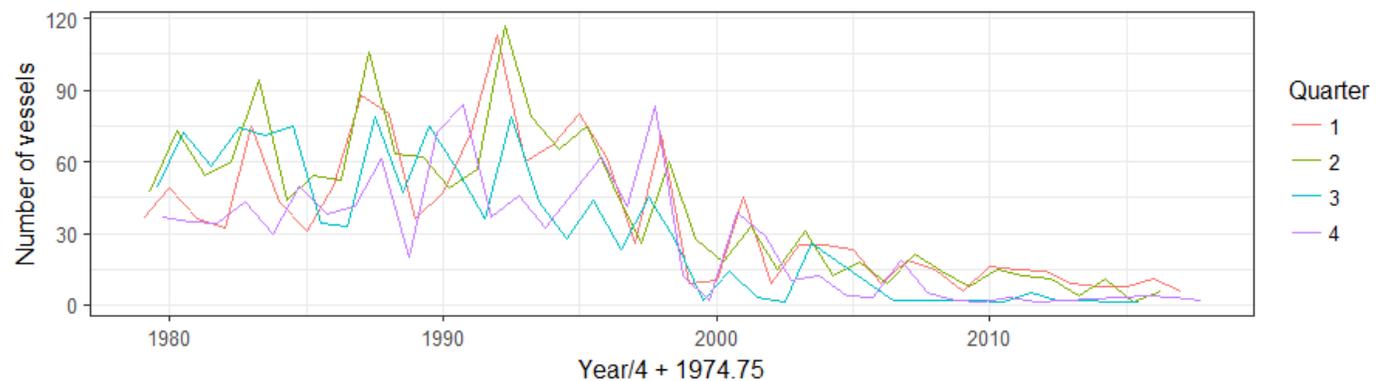
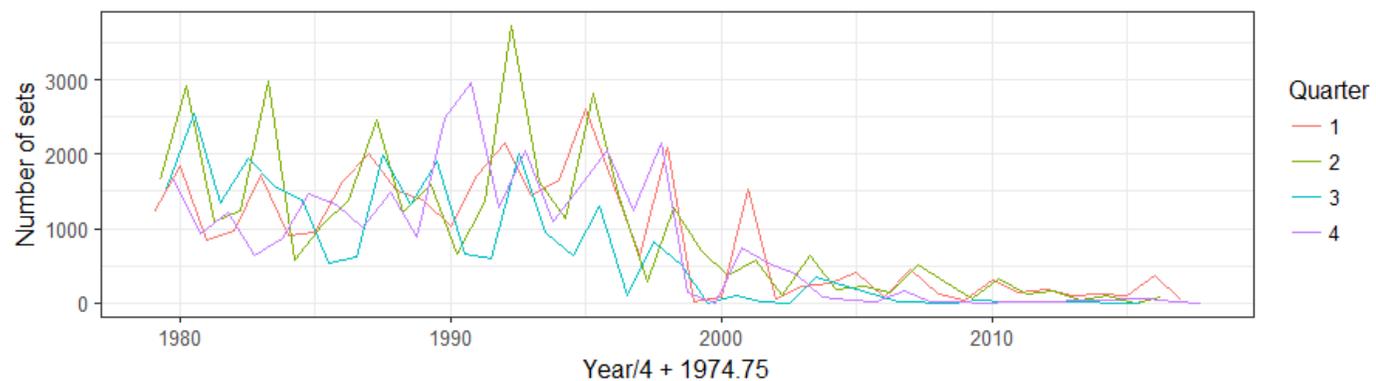
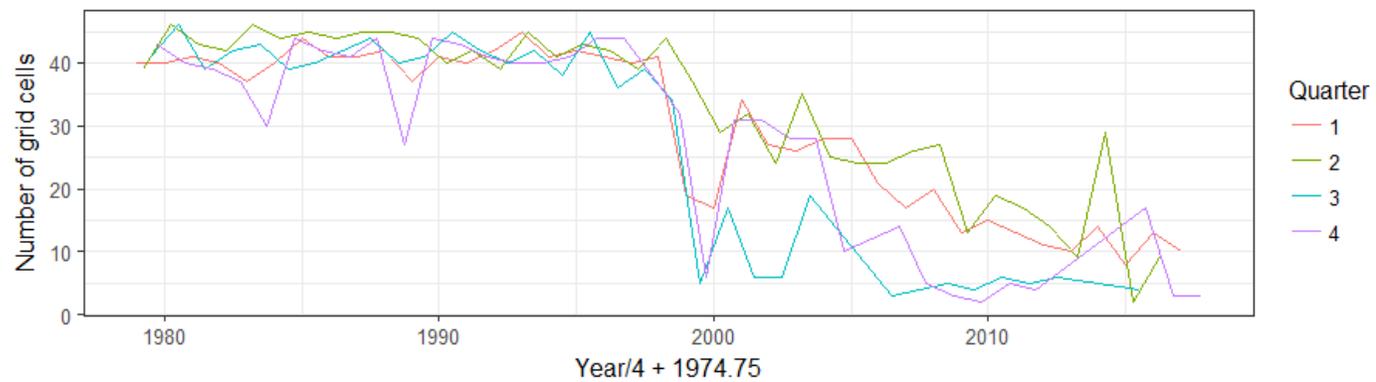
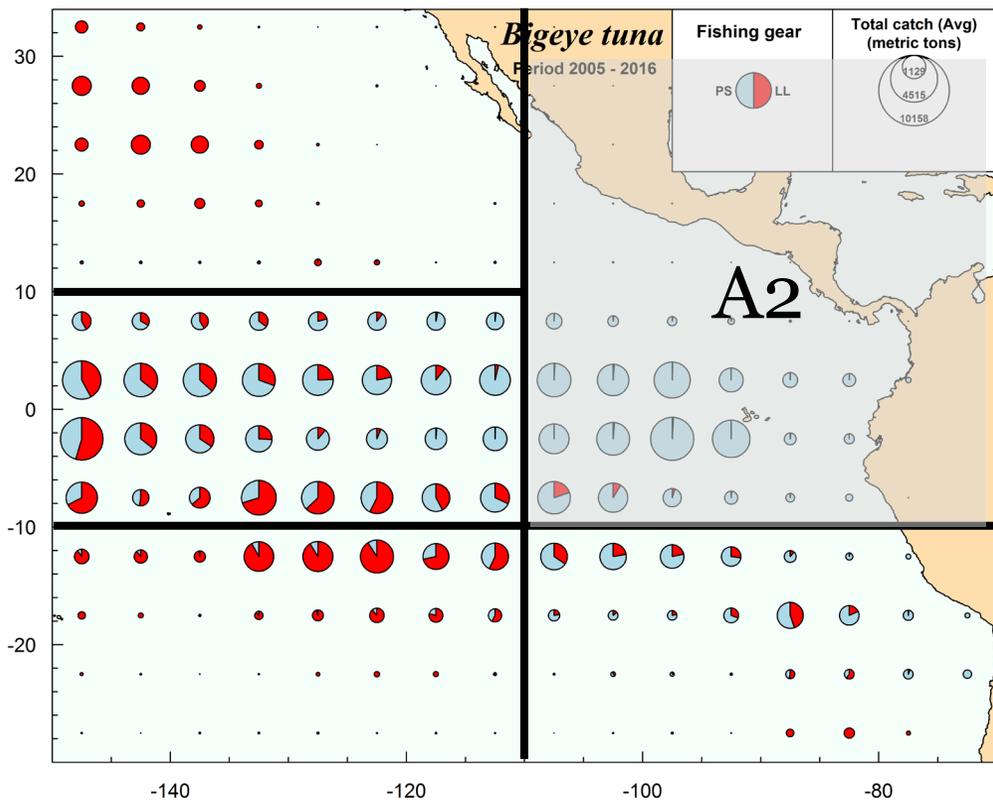
High mean vessel efficiency

# CV of the index of abundance

## Large seasonal and interannual variations in estimated CV

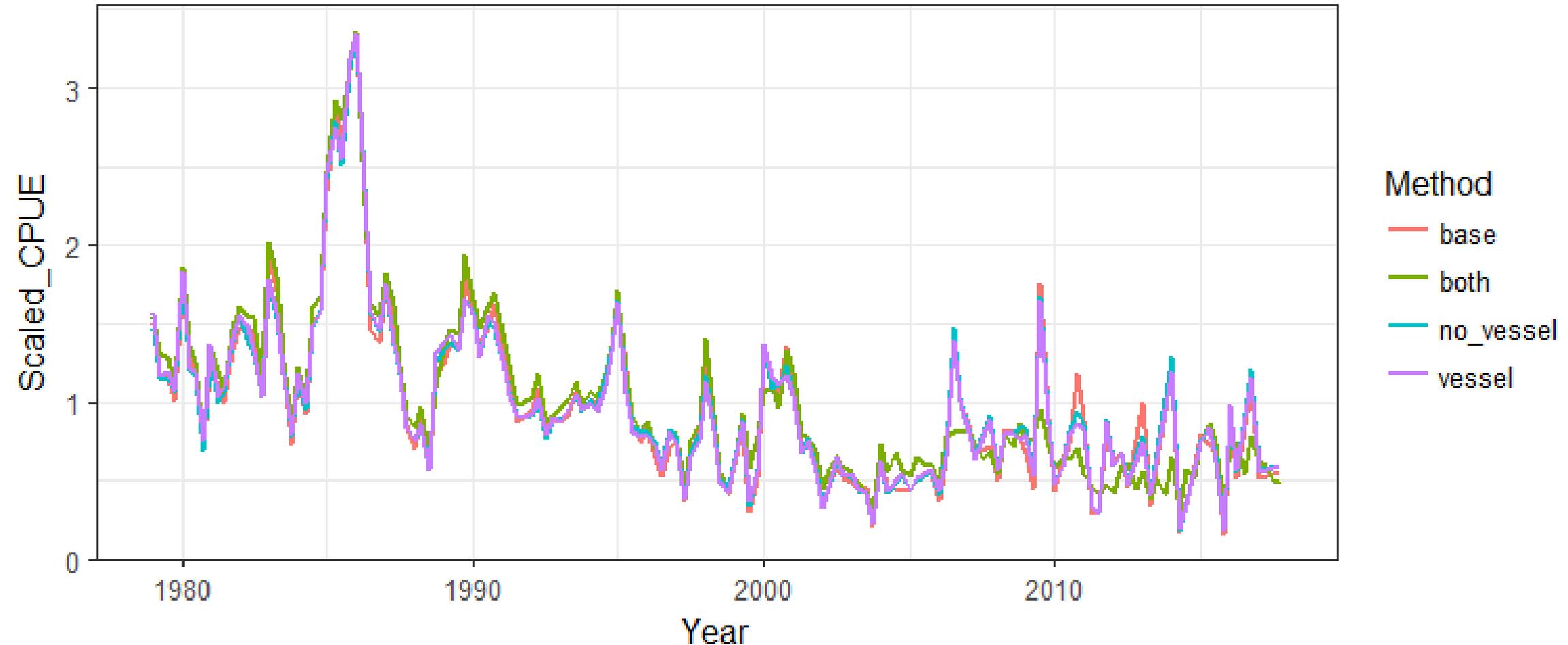


# A2: number of vessels decreased since 1990



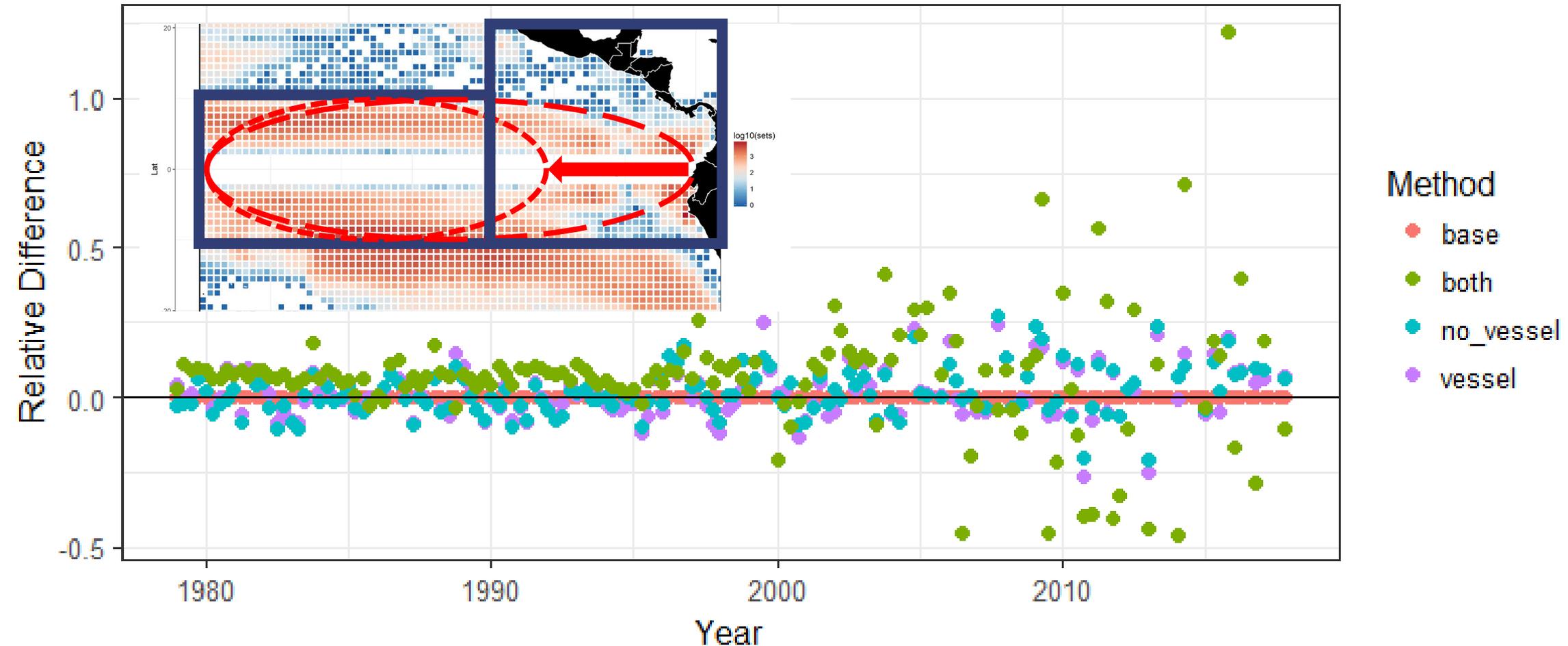
# Scaled index of abundance (mean=1)

1. **base**: aggregated data for A1 by y-q, lat, and lon
2. **both**: aggregated data for both A1 and A2 by y-q, lat, and lon; index is estimated for A1
3. **no\_vessel**: aggregated data for A1 by y-q, lat, lon, and vessel; vessel effects are not included
4. **vessel**: aggregated data for A1 by y-q, lat, lon, and vessel; vessel effects are included



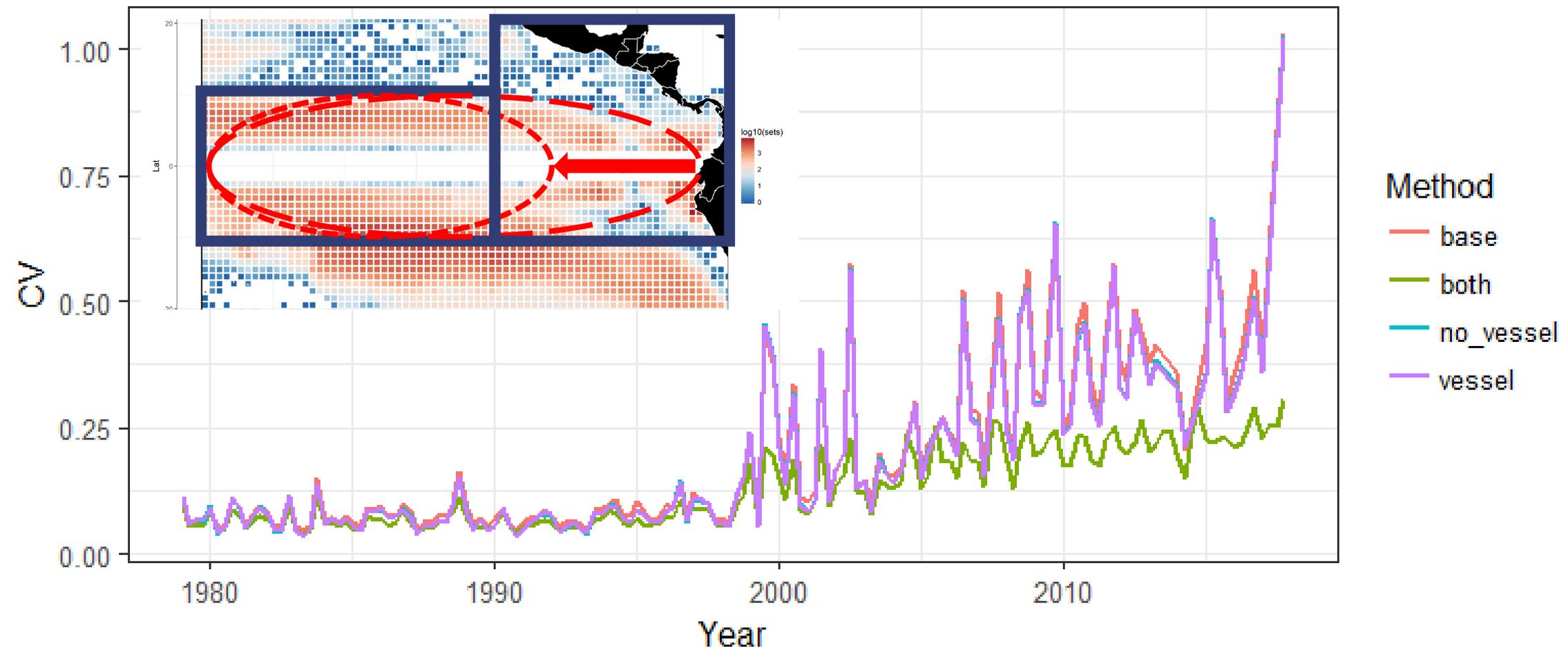
# Relative difference compared to the base case

Combining the two tropical areas is very influential to the standardized index for the data-poor area



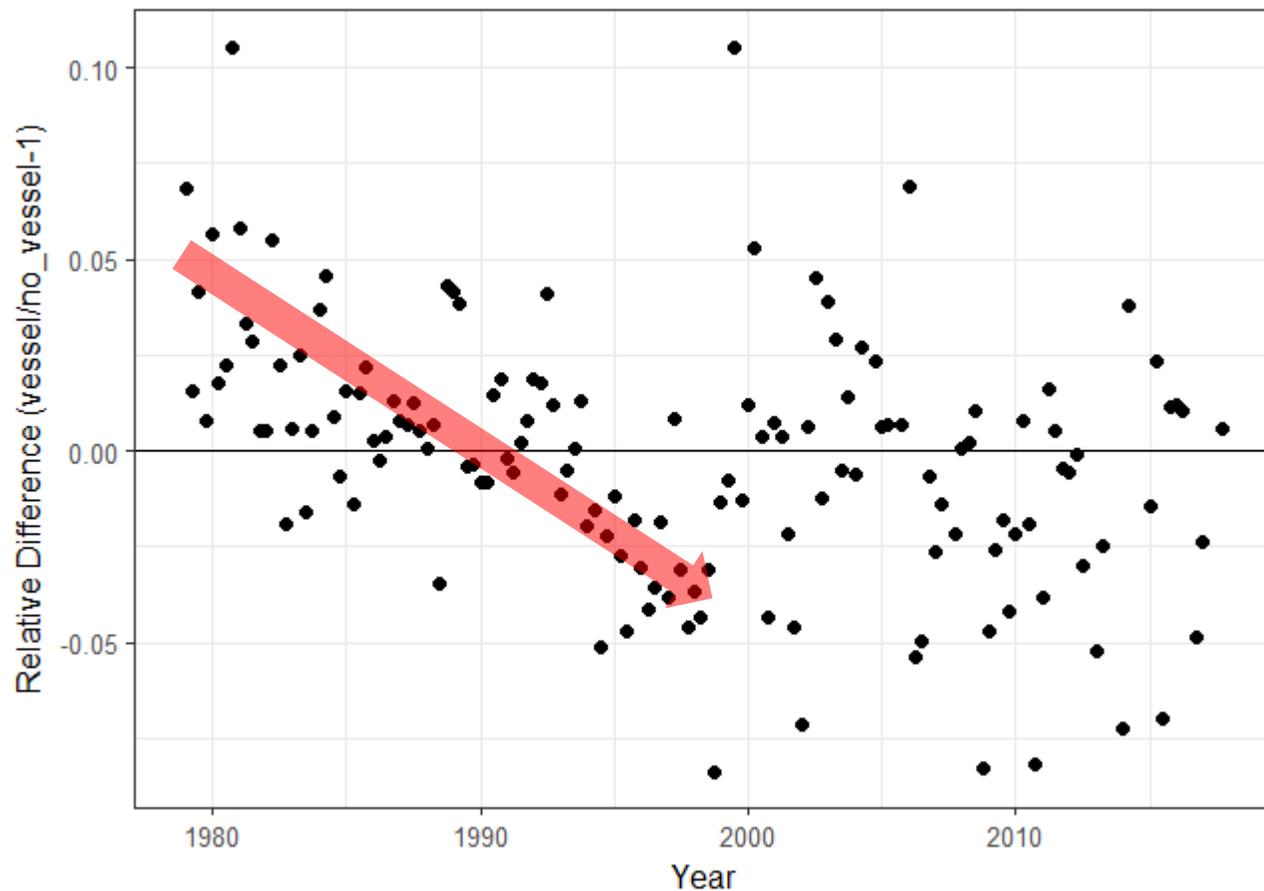
# CV of the index of abundance

**Combining the two tropical areas reduces the uncertainty about the standardized index for the data-poor area+period**



# 1. Vessel effects on catchability

Relative difference between the indices with and without vessel effects



Low mean vessel efficiency

High mean vessel efficiency

## 2. Targeting effects on catchability

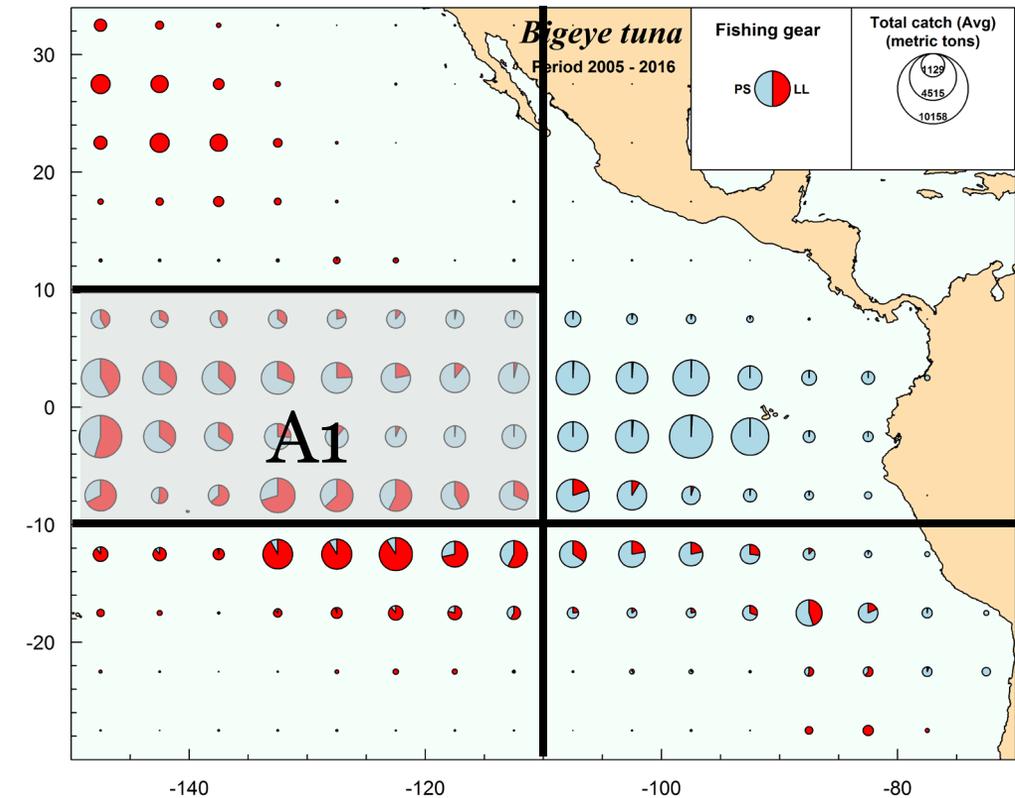
Difference between vessel effects and targeting effects:

**Vessel effects:** every unique vessel has a random effect on catchability

**Targeting effects:** every unique year-lat-lon-vessel “set” has a random effect on catchability

# Data used in the targeting effect analysis

- Aggregate data by year-quarter, lat (5°), lon (5°), and vessel for A1
- Include four species: BET, SWO, and YFT
- Estimate the targeting effects on encounter probability for each yq-lat-lon-vessel “set” as random effects
- Each targeting effect is assumed to be normally distributed with a mean of zero



# Model Structure (multiple species ( $c$ ))

Encounter probability ( $p$ ) for each catch rate observation  $i$ :

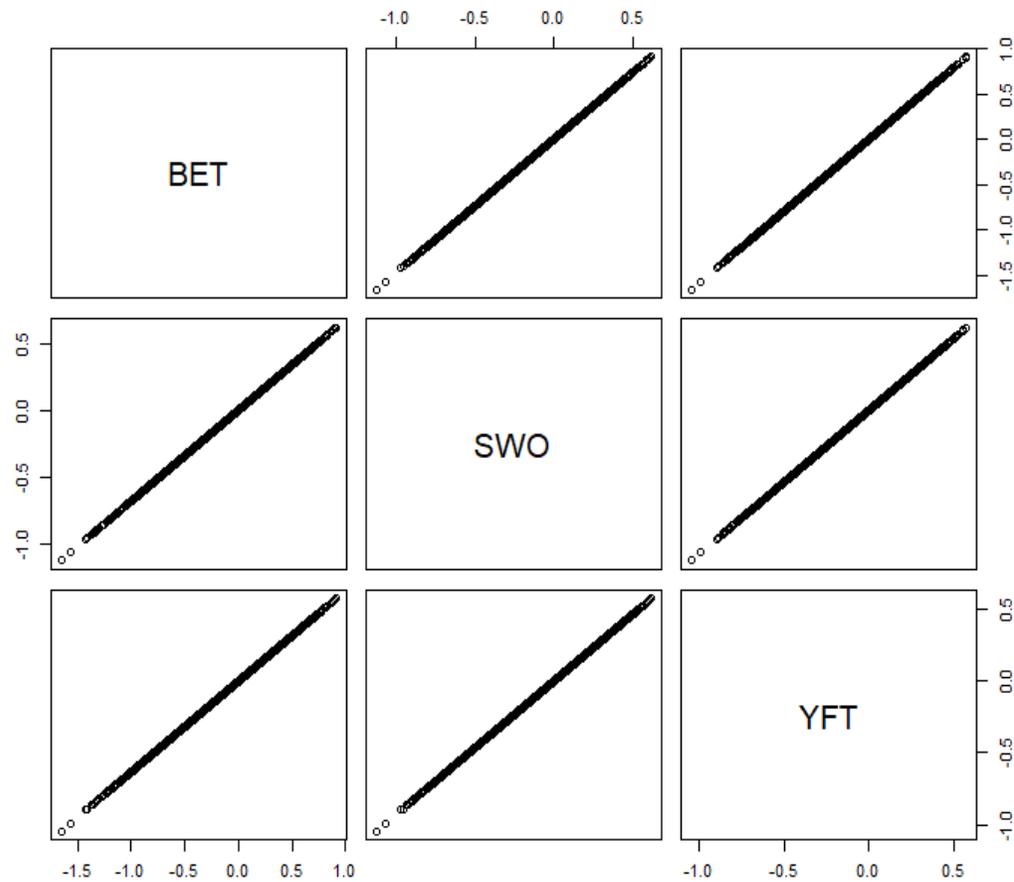
$$\begin{aligned} \text{logit}(p_i) &= \beta_1(c_i, t_i) + \sum_{f=1}^{n_{\omega_1}} L_{\omega_1}(c_i, f) \omega_1(s_i, f) + \sum_{f=1}^{n_{\varepsilon_1}} L_{\varepsilon_1}(c_i, f) \varepsilon_1(s_i, t_i, f) \\ &+ \sum_{f=1}^{n_{\delta_1}} L_{\delta_1}(c_i, f) \delta_1(v_i, f) \end{aligned}$$

$\delta_1(v_i, f)$ : targeting effects on catchability of factor  $f$ ;  $L_{\delta_1}(c_i, f)$ : loading matrix

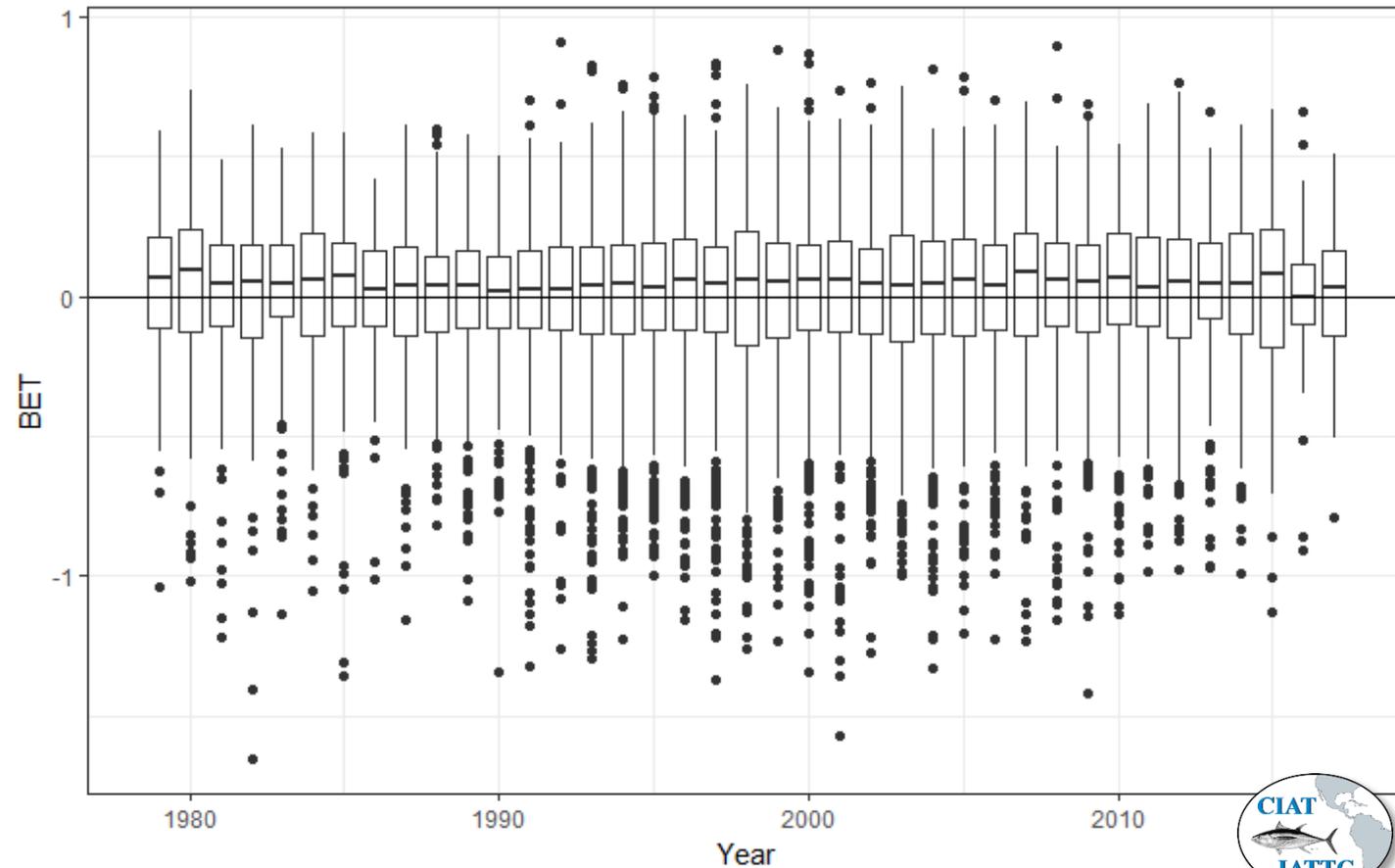
$n_{\delta_1} = 3$ : the full loadings are estimated

# Scatterplot of the three targeting effects

No evidence of targeting effects in A1

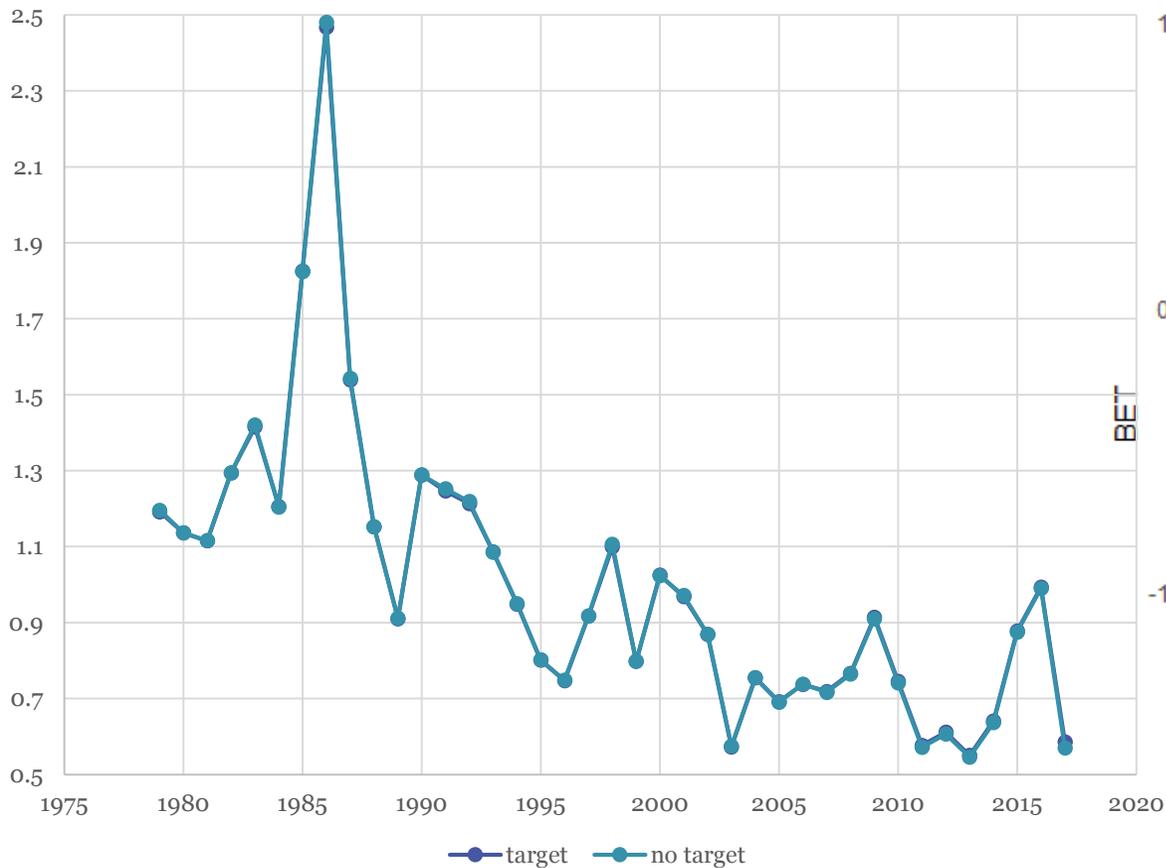


No long-term trend in BET targeting:  
confounded with the year effect?

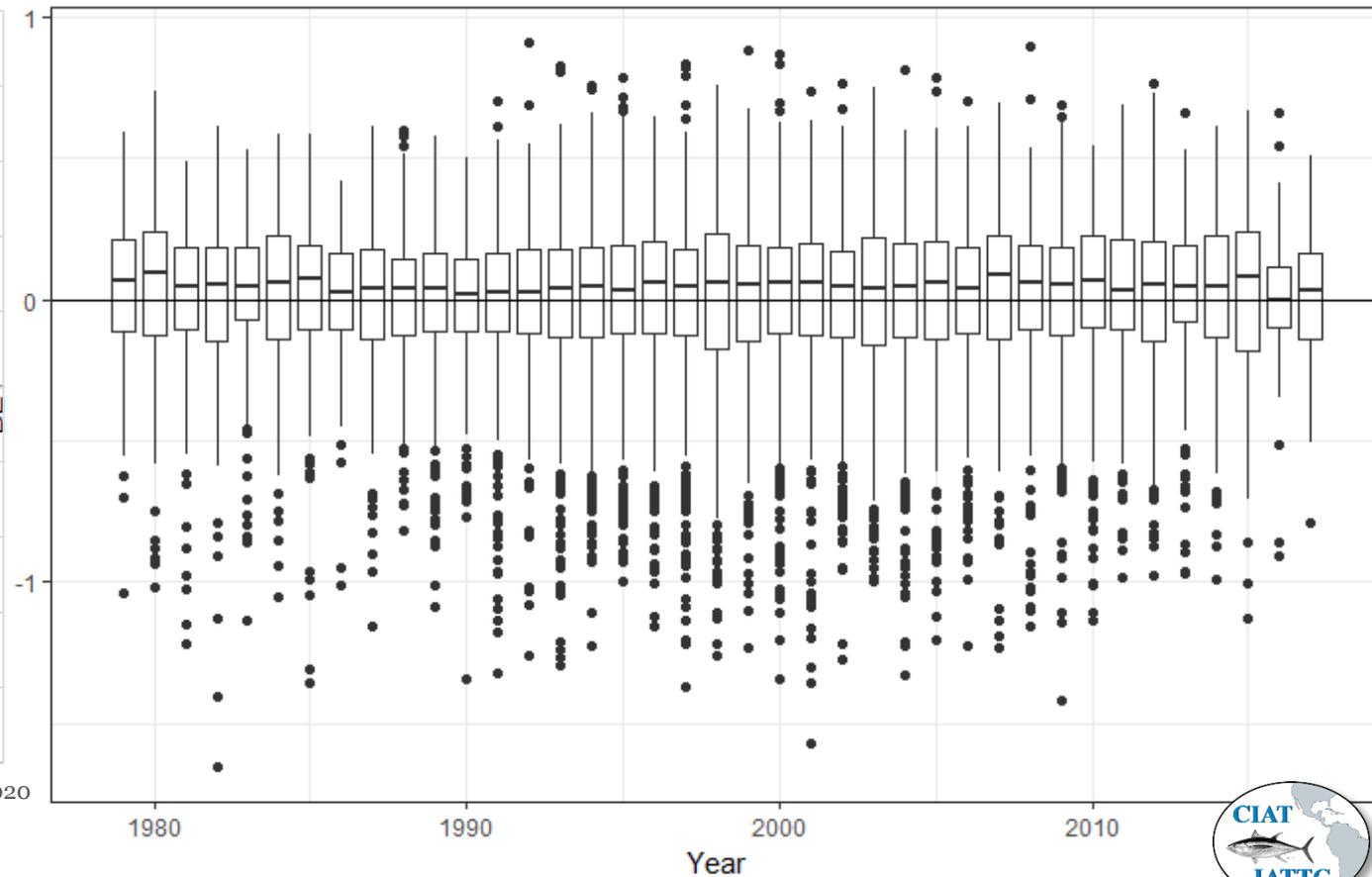


# Index of abundance with and without targeting effects

Negligible difference

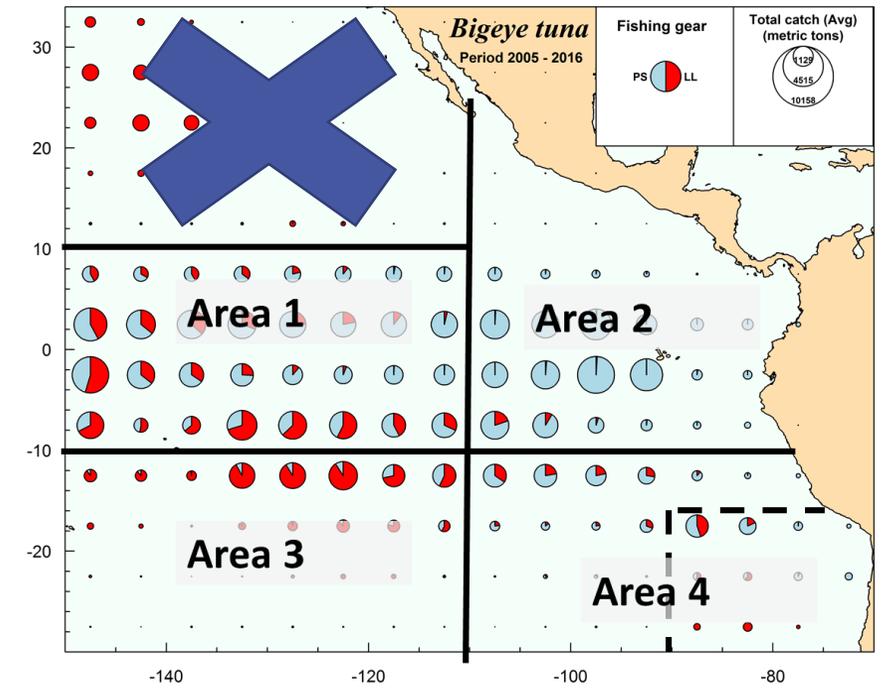
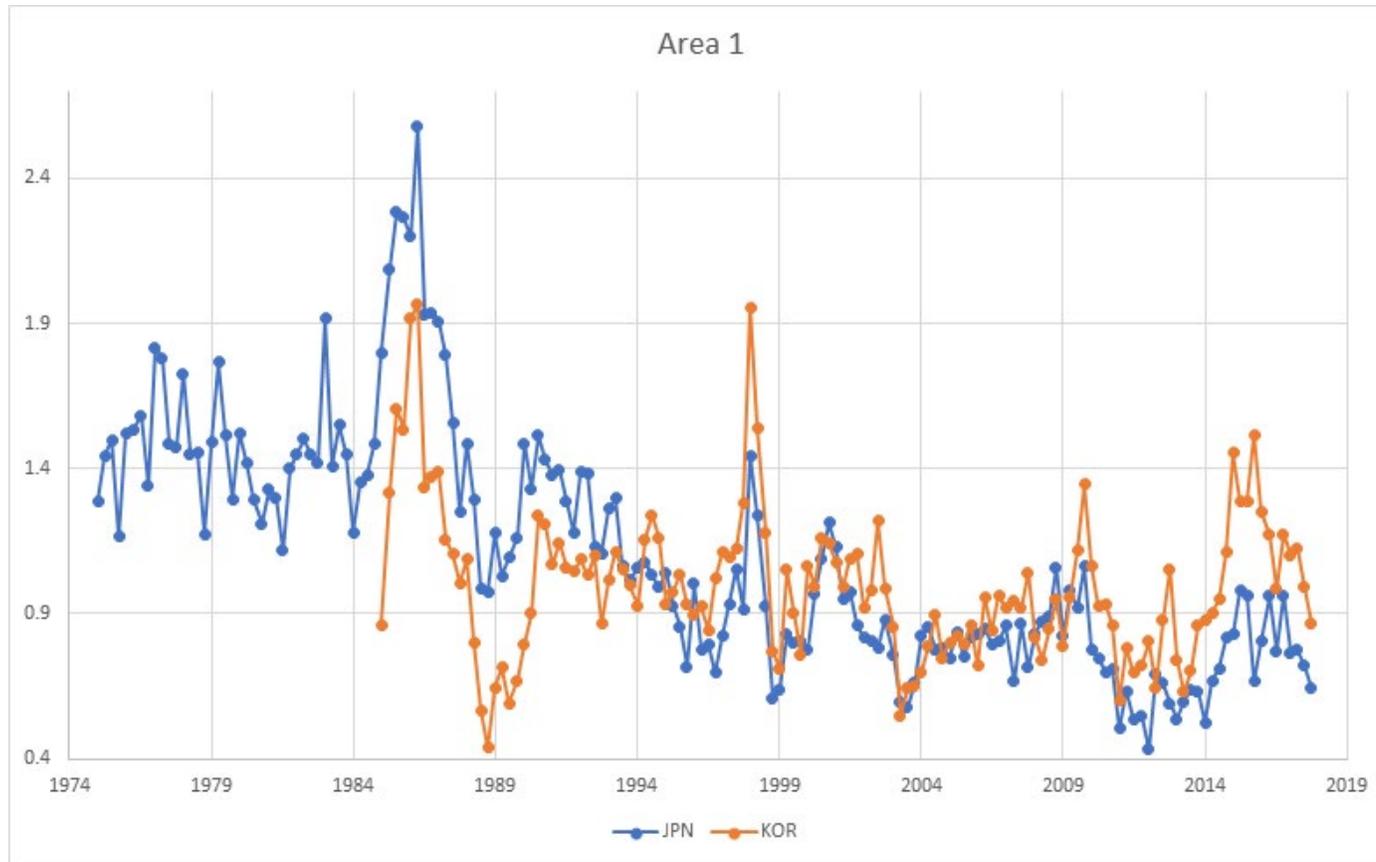


No long-term trend in BET targeting:  
confounded with the year effect?



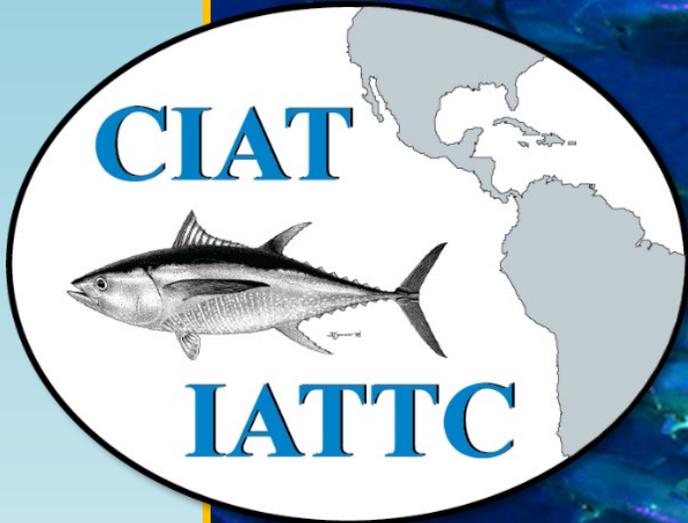
# KOR CPUE vs. JPN CPUE (Area1 as an example)

Vessel effects? Targeting effects?



# Discussion

- Index of abundance is sensitive to how data are weighted by vessel (aggregated by vessel or not: vessels are weighted equally or proportional to catch&effort)
- Vessel effects should be included in the standardization procedure (**more pessimistic abundance trend** with vessel effects than without) – even more pessimistic because the catchability of a vessel are likely to increased over time?
- Combining data in adjacent areas primarily impacts the estimates of index of abundance and the associated CV for **data-poor area+period**
- No targeting effect is found for BET, YFT, and SWO in A1
- Including targeting effects has a minor effect on the index of abundance when there is no trend in targeting effects over time – even when it exists, will it be absorbed into (i.e., confounded with) the year effect?



Thank you!

